



SIGNATURE
GLOBAL COMTRADE
LET'S GROW TOGETHER

Client Registration form



Signatureglobal Comtrade

- | | |
|---------------------------------------|---------------------------------------|
| <input type="checkbox"/> Individual | <input type="checkbox"/> Only Trading |
| <input type="checkbox"/> Corporate | <input type="checkbox"/> Only DP |
| <input type="checkbox"/> HUF | <input type="checkbox"/> Combined |
| <input type="checkbox"/> FIRM | |
| <input type="checkbox"/> OTHERS | |

Form No.: File No.:

Client Code: Demat Client ID: 12095400

Client Name

KRA Ref No KRA Name:

Branch/AP Name: Date:/...../.....

FOR OFFICE USE ONLY

UCC		Account Opening Date	
Client ID		Code	
Name			
Branch			
Date of Receiving			
Relationship Manager Name			

CONTACT DETAIL FOR INVESTOR GRIEVANCES

For any grievance/dispute please contact at the corporate office address or mail us at email id- ig@signatureglobaltrade.com or contact us on 011-45114511. In case not satisfied with the response, please contact the concerned exchange(s)/depository at :

Exchange	Website	Phone No.	E-mail ID
National Stock Exchange of India Ltd.	www.nseindia.com	1800220058	ignse@nse.co.in
Bombay Stock Exchange Ltd.	www.bseindia.com	022-22728097	is@bseindia.com
Multi Commodity Exchange of India Ltd.	www.mcxindia.com	91-22 -6731 8888	grievance@mcxindia.com
Depository	Website	Phone No.	E-mail ID
CDSL	www.cdslindia.com	022-22723333	complaints@cdslindia.com

➤ You can also lodge your grievances with SEBI at <http://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.

DISCLOSURE OF PROPRIETARY TRADING BY SIGNATUREGLOBAL COMTRADE PRIVATE LIMITED

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, Signatureglobal Comtrade Private Limited discloses to its Clients about its policies on proprietary trades. Signatureglobal Comtrade does proprietary trades in the cash and derivatives segment at NSE, BSE BSE & MCX.

Note :
The information to be given in the form, is the sole property of the Member Broker and would not be disclosed to anyone unless required by law/statutory/regulatory authorities or except with the express permission of the client.

ACKNOWLEDGEMENT




Signatureglobal Comtrade Private Limited

I / we hereby acknowledge the receipt of copy of Rights and Obligations, Risk Disclosure Documents (RDD), Policies & Procedures, Rights and Obligations of the Beneficial Owner and Depository Participant, Schedule of Service Charges, Account opening form and all Other Documents as executed by me/us, to open demat &/or trading account in equity, F&O Currency Debt, MF & / or commodity exchanges.

I/We further confirm that I/We have read and understood the contents mentioned in above documents.

Trading Code _____ Client ID : _____

Name & Signature of Client

	Sole/First Holder / Authorised Signatory	Second Holder / Authorised Signatory	Third Holder / Authorised Signatory
Signature			
Name			

* Please stamp & Sign in case of Non-Individual Account ** In case of Joint holding, all joint holders must sign.

Date:

SIGNATUREGLOBAL COMTRADE PRIVATE LIMITED		SEBI SINGLE REGISTRATION NO. : INZ000192436
CIN : U74899DL2002PTC362495		
Member of NSE- ID -90107 Member of BSE- ID -6651 MCX Member ID-57045 and NCDEX Member ID-1230		
Participant of Depository: CDSL– (Regn. No. IN-DP-684-2022) DP ID-12095400		
Compliance Officer's Details : Name : Deepender Aggarwal • Ph. : +91-9205126222 • E-mail Id : compliance@signatureglobaltrade.com		
Director/CEO Details : Name : Naresh Mittal • Ph. : 011-45114507 • E-mail Id : nm@signatureglobaltrade.com		
Registered & Corporate Office :		
Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034 Telephone: 011-45114511 Email: info@signatureglobaltrade.com Website : www.signatureglobaltrade.com		
Name of Clearing Member	Globe Capital Market Limited	
Regd. Office address	609, Ansal Bhawan, 16 K G Marg, New Delhi -110001	
SEBI Regn. No	INZ000177137	

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26.	Risk Disclosure Document (RDD) – Commodity Trading	Document detailing risks associated with dealing in the commodities market.	C-18 to C-20
27.	Guidance note	Document detailing Do's and Don'ts for trading on Exchanges, for the education of the investors – Commodity Trading.	C-21 to C-22
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29.	Investor Charter	Investor Charter and disclosure by Stock Brokers & Depository Participant for clients	C-25 to C-34

I/We have fully understood the distinction and details regarding the Mandatory/Non-Mandatory documents and do hereby enter and sign the same and agree not to call into question the validity, enforceability and applicability of any agreement(s)/document(s) or clauses within any Non-Mandatory agreement(s)/document(s) under any circumstances what so ever.

Signature of Client

 (1)

* Separate KYC Application forms must be filled by each applicant i.e. (2nd Holder, 3rd Holder & Guardian)

PART-I CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual



Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated.
- F) Please read section wise detailed guidelines / instructions at the end.
- G) List of State / U.T. code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

S. No.

For office use only (To be filled by financial institution)	Application Type*	<input type="checkbox"/> New	<input type="checkbox"/> Update
	KYC Number	(Mandatory for KYC update request)	
	Account Type*	<input type="checkbox"/> Normal	<input type="checkbox"/> Minor

I. PERSONAL DETAILS* (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name * (Same as ID Proof)				
Maiden Name				
Father / Spouse Name				
Mother Name				
Date of Birth*	DD - MM - YYYY	Marital Status	<input type="checkbox"/> Un-married	<input type="checkbox"/> Married
Gender*	<input type="checkbox"/> M-Male	<input type="checkbox"/> F-Female	<input type="checkbox"/> T-Transgender	
PAN*		<input type="checkbox"/> Form 60 furnished	Residential Status* <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian	
Nationality*	<input type="checkbox"/> IN-India	<input type="checkbox"/> Others (ISO 3166 Country Code)	<input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin	

2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

- I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)
- A- Passport Number
 - B- Voter ID Card
 - C- Driving Licence
 - D- NREGA Job Card
 - E- National Population Register Letter
 - F- Proof of Possession of Aadhaar
- II E-KYC Authentication
- III Offline verification of Aadhaar

Photograph

Please affix your recent passport size photograph

Signature Across photograph

Address

Line 1*				
Line 2				
Line 3				
District*	Pin/Post Code*	State/U.T. Code*	City / Town / Village*	ISO 3166 Country Code*

3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

- Same as above mentioned address (In such cases address details as below need not be provided)
- I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)
- A- Passport Number
 - B- Voter ID Card
 - C- Driving Licence
 - D- NREGA Job Card
 - E- National Population Register Letter
 - F- Proof of Possession of Aadhaar
- II E-KYC Authentication
- III Offline verification of Aadhaar
- IV Deemed Proof of Address - Document Type Code
- V Self Declaration

Address

Line 1*				
Line 2				
Line 3				
District*	Pin/Post Code*	State/U.T. Code*	City / Town / Village*	ISO 3166 Country Code*

PART-I CENTRAL KYC REGISTRY I Know Your Customer (KYC) Application Form I Legal Entity/ Other than Individuals



Important Instructions:

- A) Fields marked with ¹* are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated.
- F) Please read section wise detailed guidelines / instructions at the end.
- G) List of State / U.T. code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

S. No.

For office use only <small>(To be filled by financial institution)</small>	Application Type* KYC Number	<input type="checkbox"/> New <input type="checkbox"/> Update	(Mandatory for KYC update request)
--	---------------------------------	--	------------------------------------

I. ENTITY DETAILS* (Please refer instruction A at the end)

Name*

Entity Constitution Type* Others (Specify) (Please refer instruction A at the end)

Date of Incorporation/Formation* DD - MM - YY YY Date of Commencement of Business* DD - MM - YY YY

Place of Incorporation/Formation* Country of Incorporation/Formation* TIN or Equivalent Issuing Country

PAN* Form 60 furnished

TIN/GST Registration Number

2. PROOF OF IDENTITY (Pol)* (Please refer instruction B at the end)

Officially valid document(s) in respect of person authorised to transact

Certificate of Incorporation / Formation Registration Certificate REGN CERTIFICATE NO.

Memorandum and Articles of Association Partnership Deed Trust Deed

Resolution of Board / Managing Committee Power of Attorney Granted to its manager, officers or employees to transact on its behalf

Activity Proof- 1 (For Sole Proprietorship Only) Activity Proof - 2 (For Sole Proprietorship Only)

List of documents for Activity 1 & 2 (In case of Sole Proprietorship)

- Any two of the following documents or the equivalent e-documents thereof as a proof of business/ activity in the name of the proprietary firm shall also be obtained:
- (a) Registration certificate
 - (b) Certificate/licence issued by the municipal authorities under Shop and Establishment Act.
 - (c) Sales and income tax returns.
 - (d) (provisional/final). CST/VAT/ GST certificate
 - (e) Certificate/registration document issued by Sales Tax/Service Tax/Professional Tax authorities.
 - (f) IEC (Importer Exporter Code) issued to the proprietary concern by the office of DGFT or Licence/certificate of practice issued in the name of the proprietary concern by any professional body incorporated under a statute.
 - (g) Complete Income Tax Return (not just the acknowledgement) in the name of the sole proprietor where the firm's income is reflected, duly authenticated/acknowledged by the Income Tax authorities.
 - (h) Utility bills such as electricity, water, landline telephone bills, etc.

3. ADDRESS* (Please see instruction C at the end)

3.1 Registered Office Address / Place of Business*

Proof of Address * Certificate of Incorporation / Formation Registration Certificate Other Document

Line 1*

Line 2

Line 3 City / Town / Village*

District* PIN/Post Code* State / U.T. Code* ISO 3166 Country Code*

3.2 Local Address in India (If different from Above)*

Line 1*

Line 2

Line 3 City / Town / Village*

District* PIN/Post Code* State / U.T. Code* ISO 3166 Country Code*

4. CONTACT DETAILS (All communications will be sent to Mobile number/ Email-ID provided" may be used) (Please refer instruction D at the end)

Tel. (Off) -

Mobile* -

Mobile -

Fax -

Email ID*

Email ID

I.3 CURRENT ADDRESS DETAILS (Please refer instruction E at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B- Voter ID Card
- C- Driving Licence
- D- NREGA Job Card
- E- National Population Register Letter
- F- Proof of Possession of Aadhaar
- II E-KYC Authentication
- III Offline verification of Aadhaar
- IV Deemed PoA
- V Self Declaration

Address

Line 1*

Line 2

Line 3

District* Pin/Post Code* State/U.T. Code* City / Town / Village* ISO 3166 Country Code*



I.4 CONTACT DETAILS (All communication will be sent on provided mobile no./ Email-ID) (Please refer instruction D at the end)

Tel. (Off) — Tel. (Res) — Mobile* —

Email ID*

2. APPLICANT DECLARATION AND CONSENT

- I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.
- I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.
- I am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA, CKYC and other Intermediaries with whom I have a business relationship for KYC purposes only.
- I hereby authorise Signatureglobal Comtrade Private Limited (RE) to verify/check/obtain/download my KYC details from the CKYC Registry:
 - (I) By verifying such details through the CKYC number (i.e., KYC identified Number) provided by me or,
 - (II) By obtaining such CKYC number, through details shared by me, as part of application or any documentation.
- I hereby grant my explicit consent to Fetch and download my records from CKYCR.
- I also consent that all or any official through Signatureglobal download and use my records from the CKYCR by using my details and by virtue of this explicit consent.
- I also grant my consent to the Signatureglobal official to use my KYC details for the purpose of account-based relation with the Exchanges and Depository or any regulated entity.

Applicant e-SIGN	Applicant Wet Signature
 (2)	 (2)

Place : Date : — —

3. ATTESTATION (FOR OFFICE USE ONLY)

Document Received

Certified Copies E-KYC data received from UIDAI Data received Offline Verification Digital KYC Process

Equivalent e-documents Video Based KYC

KYC Mode*: (Please Tick ✓)

Normal EKYC Biometric Offline EKYC

EKYC OTP Online KYC DigiLocker

KYC VERIFICATION CARRIED OUT BY

Date

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name : **Signatureglobal Comtrade Private Limited**

Code: **IN1535**

[Institution Stamp]

I.3 CURRENT ADDRESS DETAILS (Please refer instruction E at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B- Voter ID Card
- C- Driving Licence
- D- NREGA Job Card
- E- National Population Register Letter
- F- Proof of Possession of Aadhaar
- II E-KYC Authentication
- III Offline verification of Aadhaar
- IV Deemed PoA
- V Self Declaration

Address

Line 1*

Line 2

Line 3

District* Pin/Post Code* State/U.T. Code* City / Town / Village* ISO 3166 Country Code*

I.4 CONTACT DETAILS (All communication will be sent on provided mobile no./ Email-ID) (Please refer instruction D at the end)

Tel. (Off) — Tel. (Res) — Mobile* —

Email ID*

2. Applicant Declaration and Consent

- I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.
- I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.
- I am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/DigiLocker XML file, along with passcode and as applicable, with KRA, CKYC and other Intermediaries with whom I have a business relationship for KYC purposes only.
- I hereby authorise Signatureglobal Comtrade Private Limited (RE) to verify/check/obtain/download my KYC details from the CKYC Registry:
- (I) By verifying such details through the CKYC number (i.e., KYC identified Number) provided by me or,
- (II) By obtaining such CKYC number, through details shared by me, as part of application or any documentation.
- I hereby grant my explicit consent to Fetch and download my records from CKYCR.
- I also consent that all or any official through Signatureglobal download and use my records from the CKYCR by using my details and by virtue of this explicit consent.
- I also grant my consent to the Signatureglobal official to use my KYC details for the purpose of account-based relation with the Exchanges and Depository or any regulated entity.

Applicant e-SIGN

Applicant Wet Signature

(2)

(2)

Place : Date : — —

3. ATTESTATION (FOR OFFICE USE ONLY)

- Document Received**
- Certified Copies
 - Equivalent e-documents
 - E-KYC data received from UIDAI
 - Video Based KYC
 - Data received Offline Verification
 - Digital KYC Process
- KYC Mode*:**
(Please Tick ✓)
- Normal
 - EKYC Biometric
 - Offline EKYC
 - EKYC OTP
 - Online KYC
 - DigiLocker

KYC VERIFICATION CARRIED OUT BY

INSTITUTION DETAILS

Date

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

Name : **Signatureglobal Comtrade Private Limited**
Code: **IN1535**

[Employee Signature]

[Institution Stamp]

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM (For Trading and Demat Account)

A. IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients, including Promoters / Partners / Karta / Trustees and whole time directors and persons authorized to deal in securities/commodities on behalf of company / firm / others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof of Address:

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below :

TYPE OF ENTITY	DOCUMENTARY REQUIREMENTS
Individuals	<ul style="list-style-type: none"> • One photograph of A/c Holder • Self-attested copy of PAN CARD • Self-attested copy of Address Proof • One leaf of Bank Cancelled Cheque (if name is not printed on cheque, Copy of Bank Passbook or Statement is Mandatory) • ITR/Last six-month bank statement of existing bank • Mobile No e-mail address, mother name, occupation of account holder • ID Proof of nominee(if Id Proof is Not Available Photograph and Sign is Mandatory)
Corporate	<ul style="list-style-type: none"> • Attested Copy of Pan card of the Company • Attested Copy of Address Proof of the Company (From-18/INC-22 or Latest Copy of Bank Statement/Utility Bill) • ITR/Latest 6 months' bank account statement of company bank account • Cancelled cheque of the Company(if name not Printed Copy of Statement/Passbook is Mandatory) • Certified true copy of MOA(Memorandum of Association) and AOA (Articles of associations) • Photograph of all Directors, Authorised signatories (if other than Directors), Individual Promoters, shareholders having more than 10% share holding • Self-Attested Copy of Pan Card and Aadhar Card of all directors, Authorised signatories (if other than Directors), Individual Promoters, shareholders having more than 10% share holding • Board resolution on letter head of the company indicating the person authorized to opening and operation of the said account • List of Directors on Company letter head • Share holding pattern as on date on letter head • List of authorized signatory on letterhead along with their address and specimen signatures • Balance sheet of last 2 Financial Years (in case of new company which is incorporated in current FY, net worth certificate, and declaration letter for Balance sheet) • Proper UBO information on FATCA declaration page along with supporting documents i.e self-attested copies of PAN and Aadhar Card. • DDPI (Last Page should be signed by all directors)
Partnership Firm	<ul style="list-style-type: none"> • PAN Card copy of the Firm. • PAN Card of all Partners (Attested by Each Individual Partner). • Address Proof copy of the Firm. • Address Proof copy of all the partners (Attested by Each Individual Partner). • Copy of the Partnership Deed duly attested by a notary public (investment clause must be mentioned). The first 3 pages of the partnership deed (on all pages if lesser) should have the seal and signature of the Authorized signatory. • Proof of Bank Account (Specimen copy of cancelled check leaf/Bank Statement/Bank Passbook/Letter from Bank). • Copy of Balance Sheet of the Partnership Firm for the last 2 Financial Years* (to be submitted every year) if the firms is newly incorporated then, net worth certificate from CA. (The balance sheet should have the seal and signature of the Authorized Signatory on all the pages). • Declaration for IT returns if it's a new firm. • Aadhaar of the Authorized Person as per the Letter of Authority in the letterhead of the firm. • FATCA Declaration. • Copy of Certificate of Registration (For Registered Partnership Firms only). • Individual KYC form filled and signed by each partner (Partners have to sign without the stamp on individual KYC's and supporting docs). If any of the partners is a foreign national or an NRI, then the KYC forms have to be notarized by Authorized officials of overseas branches of scheduled commercial banks registered in India, public notaries, court magistrate, judge, or the Indian embassy/consulate general in the country that they reside. • If an individual is having more than 10% of profits of the applicant firm, the individual should identify as an ultimate beneficiary and is required to submit Annexure A2. • NOTE: Demat account will be opened in the names of Partners (any one of the partner's name).
LLP (Limited Liability Partnership)	<ul style="list-style-type: none"> • PAN Card copy of the Firm. • PAN Card of all Partners (Attested by Each Individual Partner). • Address Proof copy of the Firm. • Address Proof copy of all the partners (Attested by Each Individual Partner). • Proof of Bank Account (Specimen copy of cancelled check leaf/Bank Statement/Bank Passbook/Letter from Bank). • Copy of Balance Sheet of the Firm for the last 2 Financial Years* (to be submitted every year) if the firms is newly incorporated then, net worth certificate from CA. (The balance sheet should have the seal and signature of the Authorized Signatory on all the pages). • Copy of the LLP Agreement duly attested by a notary public (investment clause must be mentioned). The first 3 pages of the LLP agreement (on all pages if lesser) should have the seal and signature of the Authorized Signatory. • Aadhaar of the Authorized Person as per the Letter of Authority in the letterhead of the firm. • Duly filled annexures (with the authorized partner's signature and the firm's seal affixed on the authorized partner's signature). • Board Resolution. • Copy of Certificate of Registration (For Registered LLP Firms only) (Investment clause must be mentioned). • FATCA Declaration. • Individual KYC form filled and signed by each partner (Partners have to sign without the stamp on individual KYC's and supporting docs). If any of the partners is a foreign national or an NRI, then the KYC forms have to be notarized by Authorized officials of overseas branches of scheduled commercial banks registered in India, public notaries, court magistrate, judge, or the Indian embassy/consulate general in the country that they reside. • If an individual is a shareholder of the applicant, having more than 10% of profits sharing of the applicant, the individual should identify as an ultimate beneficiary and is required to submit Annexure A2.
HUF	<ul style="list-style-type: none"> • Pan Card Copy of HUF • Pan Card Copy of Karta • Pan Card Copy of Co-Parcener • Address proof Copy of HUF • Address Proof Copy of Karta • Bank Passbook/Statement of HUF Indicating its existence. • ITR • Aadhaar of the Karta. • Duly filled HUF Declarations. • NOTE: Karta seal/stamp is mandatory on all the signature fields and on all the proofs provided. DDPI has to be signed by all the members of the HUF. (Minor co-Parcener/Members signatures are not required). In case there are more than 3 co-Parcener/Members, the space between the signature boxes in the DDPI can be used. The same Co-Parceners/Members who have signed in the DDPI must also sign in the HUF Annexures.
NRI	<ul style="list-style-type: none"> • A passport-size photograph to affix and sign across on the KYC. • Self-attested and notarised copy of the passport • In case of an Indian passport, a valid passport with the place of birth as India and a valid visa. • In case of a foreign passport, a valid passport, visa and the PIO, OCI or resident card. • Self-attested and notarised copy of the PAN card. • Self-attested and notarised copy of the overseas address proof. The address proof can be any one: Driving licence, foreign passport, utility bills, a bank statement, banker letter attested by the bank (on the bank's letterhead), Rental Agreement or Lease or Sale deed, Mariner's declaration or Certified copy of Continuous Discharge Certificate (CDC) in case of Merchant Navy NRIs. • Self-attested copy of the Indian address proof if available. • A cancelled cheque from the NRO or NRE bank account. • ID proof of the nominee if a nominee is being added. • The latest immigration copy if the client is in India and submitting the documents to the SGCP or if the notary is being done in India. • NRIs are mandated to submit income proof while opening an account due to their classification as Clients of Special Category (CSC).
Trust	<ul style="list-style-type: none"> • A PAN copy of the trust with the authorised trustee or signatory seal and signature. • Address proof in the name of the trust with the authorised trustee or signatory seal and signature. • Self-attested individual PAN copies of all the authorised trustees or signatories. • Self-attested individual address proof of all the authorised trustees or signatories. • Individual KYC form filled and signed by the authorised trustee or signatory without the seal. • A copy of the trust deed with the investment clause included, attested by a public notary. The first three pages of the trust deed (on all pages if lesser) should have the seal and signature of the authorised signatory. • I2-AA Registration certificate for public registered trust. • A copy of the balance sheet of the latest two financial years with the seal and signature of the authorised trustee or signatory. • If the trust is newly incorporated, a net-worth certificate from a CA with UDIN Number specified and Income Tax Declaration on the trust letterhead with the authorised trustee or signatory seal and signature. • A bank account proof of the trust. • Income Proof • Ultimate Beneficial Owner (UBO): A copy of the UBO annexure with signature of an individual holding 10% or more. • A self-attested copy of the PAN and address proof of those holding 10% or more.
Minor	<p>Checklist for Minor KYC</p> <ul style="list-style-type: none"> • KYC application should be in the name of Minor Applicant. • KYC application should be signed by the guardian of the minor in the capacity of Guardian. (Please ensure that minor should not sign the KYC application form). • PAN card copy in the name of Minor applicant. • Proof of address either in the name of applicant or his Guardian. • Proof of Date of Birth of minor given in the application. • Proof of Identity and proof of address of the Guardian. • Note: Documents for Proof of Date of Birth: Photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate.

Note : (1)* For corporate: 10% or more ownership & for other entities 10% and above implies "controlling ownership from UBO perspective".

(2)** For Trusts, the trustees and settlers / protectors are also to be given as UBOS

(3) Individual having controlling stake/UBO shall submit KYC-Part-I, separately, alongwith the copy of their aadhaar card/other proof of identity / address.



SIGNATURE
GLOBAL COMTRADE
LET'S GROW TOGETHER

Signatureglobal Comtrade Private Limited

CIN : U74899DL2002PTC362495

DP ID: I2095400

FOR OFFICE USE ONLY

CLIENT ID

Unique Client Code (UCC)

Registered & Corporate Office:

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034

Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

I/We request you to open a Trading & Depository account in my/our name as per the below mentioned details and request you to map my/our Client Id so allotted for depository account opened along with my/our trading account as my/our primary depository account and other depository account, if any, mentioned below as my/our additional depository account.

DETAILS OF ACCOUNT HOLDER(S)

Account Holder(s)	Sole/First Holder	Second Holder	Third Holder
Name			
PAN			
Occupation (please tick any one and give brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> X-Not Catgorised <input type="checkbox"/> Others (Please specify; _____)	<input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Professional	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> X-Not Catgorised <input type="checkbox"/> Others (Please specify; _____)
Brief details			
Please Tick (✓) if Applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)

For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:

Name		PAN							
------	--	-----	--	--	--	--	--	--	--

STATUS	SUB STATUS
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Minor <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other To be filled by the DP _____	

ADDITIONAL DETAILS

◆ Mode of receiving statement of Account/ECN & other documents	<input type="checkbox"/> Physical Form	<input type="checkbox"/> Electronic Form	[Read Note & ensure that email id is provided in KYC application form.]
Specify your Email id, if applicable	[E-mail Id mentioned in Part-I]		E-mail Alert Facility <input type="checkbox"/> Yes <input type="checkbox"/> No
◆ Whether you wish to avail of the facility of internet trading/ wireless technology	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Please specify _____
◆ I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
◆ <input type="checkbox"/> No Prior Experience	<input type="checkbox"/> ___ Years in Equity	<input type="checkbox"/> ___ Years in Derivatives	<input type="checkbox"/> ___ Years in Commodities
<input type="checkbox"/> ___ years in other investment related fields			
◆ In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of	<input type="checkbox"/> Company	<input type="checkbox"/> Firm	<input type="checkbox"/> Others _____
◆ Mode of receiving Standard Account Opening Documents	<input type="checkbox"/> Electronic Mode		<input type="checkbox"/> Physical Mode
◆ I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
◆ I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID mentioned on KYC Page and Display on website www.signatureglobaltrade.com	<input type="checkbox"/> Yes	<input type="checkbox"/> No	

◆ Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
◆ I / We would like to receive the Annual Report	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be Physical)
◆ I/ We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]"	<input type="checkbox"/> Yes <input type="checkbox"/> No
◆ Any other information	

DEPOSITORY ACCOUNT(S) DETAILS

S.No.	Name of Depository	DP ID	Client ID	DP Name
1.	<input type="checkbox"/> NSDL <input checked="" type="checkbox"/> CDSL	1 2 0 9 5 4 0 0		Signatureglobal Comtrade Private Limited
2.	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL			

* If demat account(s) to be mapped is/are already opened then Copy of client Signatureglobal or latest statement of holding is required.

BANK ACCOUNT(S) DETAILS


Sr.	Bank Name	Branch Address & Pin Code	Type of Bank Account / A/c No.	MICR Code
1.			<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others _____ No. _____	_____ IFSC Code _____
2.			<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others _____ No. _____	_____ IFSC Code _____

*Copy of cancelled cheque with MICR & IFSC Code is required. Bank details at serial no. I will be mapped with demat a/c to be opened, if any.

MFSS TRADING PREFERENCES

MFSS account to be opened, please tick	<input type="checkbox"/> NSE <input type="checkbox"/> BSE	 (3)
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STANDING INSTRUCTIONS

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Demat Account to be operated through DDPI / Power of Attorney (PoA)	<input type="checkbox"/> Yes <input type="checkbox"/> No
SMS Alert Facility [Mandatory if you are giving DDPI/PoA. Ensure that the mobile no. is provided in the KYC application form]	
First/Sole Holder <input type="checkbox"/> YES <input type="checkbox"/> NO	Second Holder <input type="checkbox"/> YES <input type="checkbox"/> NO
Third Holder <input type="checkbox"/> YES <input type="checkbox"/> NO	
Address for communication / Corporate Benefits (Default option is Local Address) <input type="checkbox"/> Local / Permanent Address <input type="checkbox"/> Correspondence Address / Foreign Address	
SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	Mobile No. + 9 1 _____ [Mandatory, if you are giving DDPI/Power of Attorney (POA)] (if DDPI/POA is not granted & you do not wish to avail of this facility, cancel this option)
	To register for easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

ANNUAL INCOME DETAILS (Please Specify)

Income Range per annum*	<input type="checkbox"/> Upto ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lac <input type="checkbox"/> ₹ 5-10 Lac <input type="checkbox"/> ₹ 10-25 Lac <input type="checkbox"/> ₹ 25-1 Crore <input type="checkbox"/> More than ₹ 1 Crore
Networth Amount* (₹)	_____ as on DDMMYYYY (Networth should not be older than 1 year)

*Networth is compulsory for Non-Individual client. Income Range Compulsory for all clients.

Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.	<input type="checkbox"/> YES <input type="checkbox"/> NO	
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST		
	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)
	(1) BSE Limited	Signatureglobal Comtrade Private Limited	
(12) National Stock Exchange	Signatureglobal Comtrade Private Limited		

PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities/commodities during the last 3 years: Yes No if yes, Mention Details.....

GUARDIAN DETAILS (where sole holder is a minor) :

[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name											
Relationship of guardian with applicant			PAN								

CLEARING MEMBER DETAILS (To be filled by Clearing Members only) FOR DEMAT ACCOUNT

Name of the Stock Exchange	National Stock Exchange India Limited	Name of Clearing Corporation/Clearing House	National Securities clearing Corporation
Clearing Member ID		SEBI Registration No.	INZ000192436
Trade Name	Signatureglobal Comtrade Private Ltd.	Trading Member ID :	90107

DEALINGS THROUGH OTHER TRADING MEMBERS

If client is dealing through any other Trading Member, provide the following details (in case dealing with multiple Trading Members/AP's, provide details of all in a separate sheet containing all the information as mentioned below:

Member's / AP's Name				Client Code		
Exchange				Exchange Regn. No.		
Concern Members Name with whom the AP is Registered						
Registered Office Address						
			City/Town/Village			PIN Code
			State			Country
Ph.	Fax	Email			Website	
Details of disputes/dues pending from/to such Member/AP:						

G.S.T. Registration Details (As applicable)

Sr.No.*	State	GST Registration Number
1.		
2.		


*Please attach copy of GST Registration Certificate.

TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

EXCHANGE	SEGMENT				
	Cash / Mutual Fund	F & O	CURRENCY	DEBT	Commodity Derivatives
NSE	<input type="checkbox"/> (4a)	<input type="checkbox"/> (4b)	<input type="checkbox"/> (4c)	<input type="checkbox"/> (4d)	<input type="checkbox"/> (4e)
BSE	<input type="checkbox"/> (4f)	<input type="checkbox"/> (4g)	<input type="checkbox"/> (4h)	<input type="checkbox"/> (4i)	<input type="checkbox"/> (4j)
MCX					<input type="checkbox"/> (4k)
NCDEX					<input type="checkbox"/> (4l)

IN CASE OF NRI's/Foreign National/FII's/OCB/OTHERS (As may be applicable)


RBI Approval Reference No.		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
SEBI Registration No. (For FII's)										
Please attach copy of permission for dealing in securities/commodities from authorised dealer (bank) - RBI Approval										
With reference to my/our Demat account maintain with Signatureglobal Comtrade Private Limited, I/We hereby confirm that I/We have complied with, and shall continue to comply with Foreign Exchange Management Act (FEMA), 1999 and Rules & Regulations issued thereunder and other applicable laws.						 (5)		Signature of Client		


Mode of Operation for Joint Account


Sole holder
 Jointly All holders
 Anyone of the holder or survivor (s)

If mode of Operation for joint account is chosen as anyone of the holder or survivor(s), only specified operations such as transfer of securities including Inter Depository Transfer, pledge /hypothecation/margin pledge/margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze / unfreeze of account and /or securities and/ or specific number of securities will be permitted.

Note: Other than above transactions/ conditions, all the other request should be duly signed by all the holders.

 (6)
 Signature of Sole / First Holder


 Signature of Second Holder


 Signature of Third Holder




Mode of Communication to be sent in case of joint account

For joint accounts, communication to be sent to First Holder All joint account holders

OPTION FORM FOR ISSUE OF DIS BOOKLET

I / We hereby state that: [Select one of the options given below]

- OPTION 1:** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening of my / our CDSL account though I / we have issued a Power of Attorney (POA) / registered for eDIS / executed PMS agreement in favour of / with **Signatureglobal Comtrade Private Limited** (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such \$Power of Attorney holder - Clearing Member / by PMS manager/ for executing delivery instructions through eDIS.
- OPTION 2:** I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a POA/ registered for eDIS / executed PMS agreement in favour of / with **Signatureglobal Comtrade Private Limited** (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder - Clearing Member / by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	 (7) Signature of Sole / First Holder	 Signature of Second Holder	 Signature of Third Holder

Declaration for Availing Basic Service Demat Account (BSDA) Facility

To,

Date: _____

Signatureglobal Comtrade Private Limited

Add: Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034

Dear Sir / Madam,

I/We wish to avail the BSDA facility for the new account for which we have submitted my/our account opening form.

I/We do not wish to avail the BSDA facility for the new account for which we have submitted my/our account opening form.

DP ID	1	2	0	9	5	4	0	0	Client ID							
	Name								PAN No							
Sole/First Holder Name																
Second Holder																
Third Holder																

I/ We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time.

I/We also undertake to comply with the guidelines issued by any such authority for BSDA facility from time to time.

I/We also agree that in case our demat account opened under BSDA facility does not meet the eligibility for BSDA facility as per guidelines issued by SEBI or any such authority at any point of time, my/our BSDA account will be converted to regular demat account without further reference to me/us and will be levied charges as applicable to regular accounts as informed by the DP.

I, the first/sole holder also hereby declare that I do not have/ propose to have any other demat account across the depositories as a first/sole holder.

(8)

Signature of Sole / First Holder

Signature of Second Holder

Signature of Third Holder

SIGNATURES OF ALL HOLDERS

Signature of Sole/ First Holder/ Guardian/Karta/ Auth. Signatory	(9)
Signature of Second Holder / Auth. Signatory	
Signature of Third Holder / Auth. Signatory	

DECLARATION

1. I/We hereby declare that the Rules, Regulations and By-laws of the Depository, Depository Participants, SEBI, Stock Exchanges & Stock Broker pertaining to an account which are in force now have been read by me / us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. The details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/we may be held liable for it.
2. I/We confirm having read explained and understood the contents of the document on policy and procedures of the Stock Broker and the tariff sheet.
I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) (Depository & Trading) and 'Risk Disclosure Document' (Trading). I/We do hereby agree to be bound by such provisions as outlined in these documents. I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/We hereby acknowledge the receipt of Rights and Obligations of Stock Brokers, Authorised person and Clients (Trading) and also Rights and Obligations of the Beneficial Owner and Depository Participant. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website.
3. I/We hereby declare that the client id allotted to me/us for depository account opened by you as per this application form be mapped with my/our trading account opened as per this application form as my/our primary depository account and other depository account, if any, mentioned above as my/our additional depository account.

Place : _____

Date _____

FOR OFFICE USE ONLY

UCC Code allotted to the Client	Client ID
<p>I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, for the information of the clients.</p>	
Date :	Signature of the Authorised Signatory of Signatureglobal Comtrade Pvt. Ltd. with Seal & Stamp

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

(For non-custodial settled trading accounts)

Annexure A

- Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/mobile trading login credentials with anyone else.
- You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
- The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
- All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
- The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
- You will get a contract note from the stock broker within 24 hours of the trade.
- You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
- The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
- In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
- Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

 (10)

Signature of Sole / First Holder



Signature of Second Holder



Signature of Third Holder

Name of the Client :

Date : --

Client Code :

HUF Declaration Letter to open a DEMAT Account with Signatureglobal Comtrade Private Limited.

To,

Date: _____

Signatureglobal Comtrade Private Limited

Add: Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034

Sub : Declaration of HUF account having Application No.

Name of HUF: _____

This is with reference to my application for an HUF Trading account to be opened with **Signatureglobal Comtrade Private Limited**. Being the Karta of the HUF, I hereby declare that the following is the listing of the Coparceners/Members:

Name of Major the Coparceners/Members of HUF (Use Annexure of additional Members)					
Sr. No.	Name of Coparcener/Family Member	Date of Birth	Gender	Relationship with Karta	Signature of Coparcener/Member
1.					
2.					
3.					
4.					
5.					

Name of Minor the Coparceners/Members of HUF (Use Annexure of additional Members)				
Sr. No.	Name of Coparcener/Family Member	Date of Birth	Gender	Relationship with Karta
1.				
2.				
3.				
4.				
5.				

I hereby declare that the particulars given by me as stated above are true to the best of my knowledge as on the date of making this application; AND

I agree that any false/ misleading information given by me or suppression of any material information will render my said account liable for termination and further action. Further I agree that I will immediately intimate any demise or birth in the family as it changes the constitution of the HUF.

Sincerely,

For The HUF as named above,

Signature of the Karta (Affix Seal)

I4 Guardian Identification details : [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <div style="border: 1px solid black; padding: 5px; width: fit-content; margin-left: auto;">Signature</div>	Photograph of 1st Guardian <div style="border: 1px solid black; padding: 5px; transform: rotate(-15deg); display: inline-block;">Signature of Guardian across photograph</div>	Photograph of 2nd Guardian <div style="border: 1px solid black; padding: 5px; transform: rotate(-15deg); display: inline-block;">Signature of Guardian across photograph</div>	Photograph of 3rd Guardian <div style="border: 1px solid black; padding: 5px; transform: rotate(-15deg); display: inline-block;">Signature of Guardian across photograph</div>	
	<input type="checkbox"/> PAN			
	<input type="checkbox"/> Aadhaar			
	<input type="checkbox"/> Saving Bank Account No.			
	<input type="checkbox"/> Proof of Identity			
<input type="checkbox"/> Demat Account ID				
Signature of All Holder's				
 Signature of Sole / First Holder	 Signature of Second Holder	 Signature of Third Holder		
Signature of Witness for Nomination*				
Name of the Witness	Address	Signature of Witness		

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Note:- This Nomination shall supersede any prior nomination made by the account holder(s), if any

[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/60I dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

DECLARATION FORM FOR OPTING OUT OF NOMINATION		Date	<table style="width: 100%; text-align: center;"> <tr> <td style="border: 1px solid black;">D</td> <td style="border: 1px solid black;">D</td> <td style="border: 1px solid black;">M</td> <td style="border: 1px solid black;">M</td> <td style="border: 1px solid black;">Y</td> <td style="border: 1px solid black;">Y</td> <td style="border: 1px solid black;">Y</td> <td style="border: 1px solid black;">Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
UCC (Trading Code)		DP ID		Client ID							
Sole/First Holder Name											
Second Holder Name											
Third Holer Name											
<input type="checkbox"/> I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my trading, demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading, demat account.											
Signature of All Holder's											
 Signature of Sole / First Holder	 Signature of Second Holder	 Signature of Third Holder									
Signature of Witness for Nomination*											
Name of the Witness	Address	Signature of Witness									

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature [in both the cases i.e. nomination /negative nomination]"

Note:- This Nomination shall supersede any prior nomination made by the account holder(s), if any

Note : Residual securities: in case of multiple nominees remaining after distribution of securities as per percentage of allocation shall be transferred to the first nominee .

INSTRUCTIONS RELATED TO NOMINATION, ARE AS BELOW:

- I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly and singly by the sole/first holder in case of trading account. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- III. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- IV. Nomination in respect of the beneficiary owner account/trading account stands rescinded upon closure of the beneficiary owner account/trading account. Similarly, the nomination in respect of the funds/securities/commodities shall stand terminated upon transfer of the funds/securities/commodities.
- V. Transfer of funds/securities/commodities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant/exchange(s) against the legal heir.
- VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts/trading account on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository/exchange(s) shall not be under any obligation to transfer the funds/securities/commodities in favour of the Nominee(s).
- VIII. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- IX. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- X. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- XI. Savings bank account details shall only be considered if the account is maintained with the same participant.
- XII. DP ID and client ID shall be provided where demat details is required to be provided.

ONE TIME DECLARATION FOR INTER DEPOSITORY TRANSFER OF GOVERNMENT SECURITIES

I / we, undersigned, having demat account with you as per the details mentioned below, hereby declare that I / we will submit only those inter depository transfer instructions in respect of Government Securities (G-Sec) which are bonafide and arising out of genuine trade or transfer transaction.

 (13)

.....
Signature of Sole / First Holder



.....
Signature of Second Holder



.....
Signature of Third Holder

TARIFF SHEET

NSE / BSE / MCX / NCDEX

ITEM	Brokerage(%)	Min (Rs.)	(Rs./Lot)
A/c Opening Charges (Including GST)		Nil	
Equity Delivery			N.A.
Equity Intraday			N.A.
Equity Future			N.A.
Equity Stock Option	N.A.	N.A.	
Equity Bank Nifty Option	N.A.	N.A.	
Equity Nifty Option	N.A.	N.A.	
Equity Currency Future			N.A.
Equity Currency Option	N.A.	N.A.	
Commodity Delivery			N.A.
Commodity Futures			N.A.
Commodity Options (Others)	N.A.	N.A.	
Commodity Options (Silver and Copper)	N.A.	N.A.	
Commodity Options (Gold)	N.A.	N.A.	
Mutual Funds / Liquid Bees		Nil	
Delayed Payment Charges		1.5% per month	
GST*		18%	

OTHER CHARGES

Particulars	Capital Market (Cash) Segment		Equity Derivatives Segment		Currency Derivatives Segment		Commodity Derivatives Segment	
	Delivery	Jobbing	Futures	Options	Futures	Options	Futures	Options
Other Charges (%)								
Other charges represents a consolidated charge towards providing various value-added services such as Trade Confirmation SMS, electronic account access, portfolio tracker, access to research reports, market news SMS and towards defraying Member's Statutory/Regulatory liability for the client's trades.								
*GST	As per the provisions of GST Act 2017 and as amended from time to time.							
STT / CTT	As per the provisions of STT / CTT Act and as amended from time to time.							
Stamp Duty	As per provisions of the Indian Stamp (Collection of Stamp-Duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019 and as amended from time to time.							
other taxes	As may be applicable from time to time.							

In case copy of any report/contract note in physical form is required by the client, client shall be charged Rs. 25/- per Contract Note & Rs. 100/- per report per financial year. GST & postal charges, as applicable, shall be extra.

Banking Transaction Charges:

Payment Gateway transfers - NIL, Cheque bounce - Rs. 250/- per instance, NACH mandate failure - Rs. 100/- per instance & GST - 18%.

Signed for and on behalf of Client

Signature of Client

 (14)

Signatureglobal Comtrade Private Limited

SCHEDULE OF CHARGES FOR DEMAT ACCOUNT INDIVIDUAL / CORPORATE – CDSL

NATURE OF SERVICES	CHARGES(Please tick whoever is applicable	
	<input type="checkbox"/> Regular Scheme	<input type="checkbox"/> Lifetime
Account Opening Charges	Free	
Account Maintenance Charges	Four Quarter (1 Year)	Lifetime
Account Maintenance Charges (Individuals)	₹ 299/- for First Quarter remaining Three Quarters are FREE	₹ 999/-
Account Maintenance Charges for Corporates and Client Securities Margin Pledge Account.	₹ 750/- for First Quarter remaining Three Quarters are FREE	Not Applicable
TRANSACTION CHARGES		
Delivery	Market Transaction	₹9/- Per Transaction
	Off Market Transaction	₹21/- Per Transaction or .02% of Transaction Value, whichever is higher, Subject to Maximum ₹1000/-
Pledge Creation/closure/Invocation	₹25/- Per Transaction	
Unpledge	₹25/- Per Transaction	
Margin Pledge/Unpledge	₹10/- Transaction	
Margin Repledge	₹5/- Transaction	
Dematerialisation Charges	₹25/- up to 10 Certificates thereafter ₹5/- Per Certificate	
Rematerialisation Charges	Fee For every 100 securities ₹25 Part there of; subject to maximum 5, 00,000 Or Flat fee ₹25 per certificate , whichever is higher	
Restat-SOA/Redemption - For conversion of MF units in to SOA (Statement of Account) and Redemption of Mutual Fund units, a flat fee	₹25/-	
Erroneous Transfer reversal per transaction	₹150/-	
NDU Charges	₹35/- per request or 0.02% on transaction value whichever is higher payable by the borrower for NDU requests accepted by the lender	
Delivery Instruction Book	First DIS is Free thereafter ₹30/- (10 Leaf)	

Note

- The Depository Participant shall reserve the right to revise the charges by giving not less than 30 days' notice to the clients.
- Value of transaction will be in accordance with the depository formula.
- Modification in client detail, ₹. 40/- Per instance if Client Master send by courier/speed post.
- Account maintenance charges will be charged in advance at the time of account opening.
- Demat Rejection charges ₹. 20/- Plus Courier Charges extra.
- Off-market Instruction not received prior to 24 hours of execution will be received at client's risk.
- Instruction for payin of securities to transfer from client account to CM Pool account, inter settlement, CM Pool to Pool Transfer and Delivery instruction will be accepted unto 4:00 PM on working Day prior to Payin Date.
- All charges are exclusive of GST, taxes and other government levies charges will be charged extra as applicable from time to time.
- Any other services, which are not mentioned above, will be charged separately as per the rates applicable from time to time, out of pocket expenses including overseas consignment will be charged extra on actual basis.

“AS per SEBI Circular No. CIR/MRD/DP/22/2012 dated 27th August 2012, all clients who are willing to hold only one Demat account as first holder and DP holding valuation will be maintained below ₹. 2 Lac. Is eligible to opt for BSDA (Basic Service Demat Account) subject to term and conditions mentioned by SEBI.” To opt BSDA scheme please sign a separate scheme sheet which can be obtained from our office.”

 (15)

Signature of Sole / First Holder



Signature of Second Holder



Signature of Third Holder

TERMS AND CONDITIONS-CUM-REGISTRATION / MODIFICATION FORM FOR RECEIVING SMS ALERTS FROM CDSL**[SMS Alerts will be sent by CDSL to BOs for all debits and for all credits as well.]****Annexure - 2.4****Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.




I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BO ID	1	2	0	9	5	4	0	0									
	(Please write your 8 digit DPID)								(Please write your 8 digit Client/BO ID)								
Sole / First Holder's Name																	
Second Holder's Name																	
Third Holder's Name																	
Mobile Number on which messages are to be sent	+	9	1														
	(Please write only the mobile number without prefixing country code or zero)																
The mobile number is registered in the name of																	
E-mail ID																	
	(Please write only ONE valid email ID on which communication; if any, is to be sent)																
 (16)																	
Signature of First Holder						Signature of Second Holder						Signature of Third Holder					
Place											Date						

Particulars	Sole/First Holder/Guardian	Second Holder	Third Holder
A. Country of Birth is any country other than India	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
B. Citizen of any country other than India	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
C. Tax resident of any country/ies other than India	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
D. Are you a US Specified Person	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If any of the above answer is "Yes", please fill the below mentioned details also.

Particulars	Sole/First Holder/Guardian		Second Holder		Third Holder	
Place & Country of Birth						
Country of Citizenship/ Nationality						
Sr. No.	Country of Tax Residency* (Other Than India)	Taxpayer Identification No.	Country of Tax Residency* (Other Than India)	Taxpayer Identification No.	Country of Tax Residency* (Other Than India)	Taxpayer Identification No.
1.						
2.						
3.						
Address						

*Please indicate all countries in which you are a resident for tax purpose and associated Taxpayer identification number.

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Signatureglobal Comtrade Private Limited for any modification to this information promptly.

For Investor convenience, Signatureglobal Comtrade Private Limited (SGCPL) collecting this mandatory information for updating across all Group Companies of SGCPL whether you are already an investor or would become an investor in future.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

 (17)

.....
Sole/First Holder Signature



.....
Second Holder Signature



.....
Third Holder Signature

*For Detail Terms & Conditions please visit www.signatureglobaltrade.com-Download Section

FATCA & CRS DECLARATION (FOR NON-INDIVIDUAL)

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India Yes No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country	Tax Identification Number	Identification Type (TIN or Other , please specify)
1.			
2.			
3.			

* In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a, Financial institution <input type="checkbox"/> (Refer 1 of Part C) or Direct reporting NFE <input type="checkbox"/> (Refer 3(vii) of Part C) (please tick as appropriate)	GIIN <input type="text"/> Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity _____ _____
GIIN not available (please tick as applicable)	<input type="checkbox"/> Applied for <input type="checkbox"/> Not obtained – Non-participating FI <input type="checkbox"/> Not required to apply for - please specify 2 digits sub-category <input type="text"/> (Refer 1 A of Part C)

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C)	Yes <input type="checkbox"/> (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange _____
2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)	Yes <input type="checkbox"/> (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company _____ Nature of relation: <input type="checkbox"/> Subsidiary of the Listed Company or <input type="checkbox"/> Controlled by a Listed Company Name of stock exchange _____
3. Is the Entity an active NFE (Refer 2c of Part C)	Yes <input type="checkbox"/> Nature of Business _____ Please specify the sub-category of Active NFE <input type="text"/> (Mention code – refer 2c of Part C)
4. Is the Entity a passive NFE (Refer 3(ii) of Part C)	Yes <input type="checkbox"/> Nature of Business _____

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick applicable category):

<input type="checkbox"/> Unincorporated association / body of individuals	<input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Limited Liability Partnership Company
<input type="checkbox"/> Others (please specify _____)	<input type="checkbox"/> Public Charitable Trust	<input type="checkbox"/> Religious Trust	<input type="checkbox"/> Private Trust

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office
Tax ID %			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%) [§]			

* To include US, where controlling person is a US citizen or green card holder

If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.

% In case Tax Identification Number is not available, kindly provide functional equivalent

§ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Signatureglobal Comtrade Private Limited for any modification to this information promptly.

For Investor convenience, Signatureglobal Comtrade Private Limited (SGCPL) collecting this mandatory information for updating across all Group Companies of SGCPL whether you are already an investor or would become an investor in future.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name	
Designation	

 (18)

.....
Sole/First Authorised Signatory

Place _____



.....
Second Authorised Signatory



.....
Third Authorised Signatory

Date ____/____/____

*For Detail Terms & Conditions please visit signatureglobaltrade.com-Download Section

MUTUAL FUND FACILITY

To,

Date : _____

Signatureglobal Comtrade Private Limited

Registered & Corporate Office:

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034

Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

Sub : Mutual Fund Service System (MFSS)Facility/ BSE StAR Mutual Fund Facility & other MF approved platform.

Sir,

I/We _____ am/are registered as your client with UCC No. _____ for the purpose of trading in the Capital Market segment and/or Derivatives segment. I/We am/are interested in availing the trading facility of the Exchanges for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS and/or BSE StAR MF and/or other MF. For the purpose of availing these facilities, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of this facility and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the NSE Circular dated November 24, 2009, BSE circular dated December 2, 2009 and as may be specified by the Exchanges from time to time in this regard. I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. I/we agree and authorize you to issue payment on my behalf by debiting my account with the value of my/our transactions along with charges.

I/we therefore request you to register me/us as your client for participating in mutual fund trading facility of exchanges.

Details of terms & conditions for the Investor / Client for using MFSS facility BSE StAR MF & other MF Platform

- I. Pre-requisites for becoming Investor / Client for the MFSS facility/ BSE StAR MF /other MF platform.
 - 1.1. The client who is desirous of investing in units of mutual fund schemes through the MFSS /BSE StAR/other MF platform.
 - 1.2. The Client intends to execute his instruction for the subscription/ redemption of units of Mutual Fund Schemes through the broker who is a Mutual fund Intermediary (MFI) of the MFSS /BSE StAR/other MF platform
 - 1.3. The client has satisfied itself of the capacity of the MFI to deal in Mutual Fund units and wishes to execute its instruction through the MFI and the client shall from time to time continue to satisfy itself of such capability of the MFI before executing transacting through the MFI.
 - 1.4. The Client has approached to the MFI with the application for availing the MFSS facility/BSE StAR/other MF platform
 - 1.5. The client has submitted relevant KYC (Know Your Client) details to the MFIs
2. Terms and Conditions
 - 2.1. The client shall be bound by circulars/ notices issued by NSEIL/BSE from time to time including the Circulars issued by NSEIL/BSE and rules, regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
 - 2.2. The client shall notify the MFI in writing if there is any change in the information in the 'client registration form' provided by the client to the MFI at the time of registering as a client for participating in the MFSS / BSE STAR/other MF platform or at any time thereafter.
 - 2.3. The client shall submit to the MFI a completed application form in the manner prescribed format for the purpose of placing a subscription order with the MFI
 - 2.4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.
 - 2.5. The client shall be wholly responsible for all his investment decisions and instruction.
 - 2.6. The client shall ensure continuous compliance with the requirements of the Exchanges, SEBI and AMFI.
 - 2.7. The Client shall pay to the MFI fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that MFI renders to the Client.
 - 2.8. The client will furnish information to the MFI in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
 - 2.9. In the event of non-performance of the obligation by the MFI, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of NSEIL/BSE or NSCCL/ Indian Clearing Corporation Ltd. (ICCL), other exchanges/Clearing Corporation.
 - 2.10. In case of any dispute between the MFIs and the investors arising out of the MFSS facility/ BSE StAR/other MF platform, exchanges and / or NSCCL/ICCL agrees to extend the necessary support for the speedy redressal of the disputes.

Signature of Client

 (19)

Client Name _____

Margin Trading Facility (MTF)

To,
Signatureglobal Comtrade Private Limited
Registered & Corporate Office:

Date : _____

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034
Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

Sub: Request/consent for availing the Margin Trading Facility (MTF) in NSE/BSE

UCC.: _____

Dear Sir,

This has reference to KYC Form and execution of necessary documents in this regard for opening of my/our trading account with you.

Further, I/we wish to avail the Margin Trading Facility (MTF) in my/our trading account to be opened with you in NSE &/or BSE. I/We have read & understood the Rights & Obligations of Stock Brokers, Sub-brokers & Clients, Policies & Procedures and other terms & conditions pertaining to MTF and undertake & agree to abide by the same including the rules, regulations & byelaws of the exchange/SEBI issued from time to time in this regard.

I/We hereby agree that the mode of communication including for confirmation of orders/trades, margin calls and calls for liquidation of collateral/security/position may be given telephonically or electronically or otherwise as per the discretion of the stock Broker.

Further, I/we understand & agree that all the terms and conditions of the authorization given by me/us, titled "Authorisation for Electronic Contract Notes" for issuing ECN and other documents in electronic form to me/us, shall be mutatis mutandis applicable to me/us for the aforementioned MTF as well.

You are requested to kindly do the needful for the activation of my/our trading account for the MTF.

Thanking you,
Yours faithfully

Signature of Client

 (20)

Name :

Date :

TERMS & CONDITIONS FOR MARGIN TRADING FACILITY (MTF)
As prescribed by Signatureglobal Comtrade Private Limited (SGCPL)

KYC & Other documents executed separately

1. All the terms and conditions of the agreement, titled "Contract between Stock Broker & Client "Policy & Procedures" and other relevant documents entered by the Client during the Client Registration process and from time to time thereafter shall be mutatis mutandis applicable to the Client for the aforementioned MTF as well.
2. All the terms and conditions of the authorization given by the Client, titled "Authorisation for Electronic Contract Notes" for issuing ECN and other documents in electronic form to the Client, given by the Client shall be mutatis mutandis applicable to the Client for the aforementioned MTF as well.
3. The Client must ensure that the information provided by the client for opening of his/her/it trading account is up to date and be used for the aforementioned MTF. The Client shall be responsible to keep the same updated at all times.

Interest, Brokerage and Other charges

4. The client will be charged with interest at the rate of 1.5% per month or any other rates as may be mutually agreed between the client and the Stock Broker along with applicable taxes, if any. The Client hereby authorizes the Stock Broker to directly debit the same to the account of the Client on daily product basis. Further, Brokerage & other charges including applicable taxes as per the tariff sheet signed by the client for the delivery trades in the normal transactions of the relevant exchange or mutually agreed terms thereafter shall also be applicable to the MTF trades.

Mode of Communication

5. The consent for activation of Margin Trading Facility can be given by the client by duly signed physical letter or by any secured electronic method provided by the Stock Broker
6. Mode of all types of communication including but not limited to communication for confirmation of orders/trades, margin calls and calls for liquidation of collateral/security/position may be given telephonically/electronically or otherwise through the Client's E-mail / SMS at the email id / mobile number of the Client registered with SGCPL or by way of logging-in on website of SGCPL in a secured manner or physical mode as per the discretion of the stock Broker. The client shall note that non-receipt of bounced mail notification by the Stock Broker shall amount to delivery of the aforesaid communication at the e-mail ID of the client. In case, in any of the above exchanges due to any reason, whatsoever, if client wants the Stock Broker to send any document in physical form including bouncing of email, charges, as applicable, shall be debited to the client account.

Securities Eligible for Margin Trading Facility

7. Equity Shares that are classified as 'Group I security' as per SEBI Master circular No. SEBI/HO/MRD/DP/CIR/P/2016/135 dated December 16, 2016, or as permitted by SEBI/Exchanges/Stock Broker from time to time, shall be eligible for Margin Trading Facility.

Margin Requirement

8. In order to avail Margin Trading Facility, initial margin required shall be as under:

Category of Stock	Applicable margin
Group I stocks available for trading in the F & O Segment	VaR + 3 times of applicable ELM*
Group I stocks other than F&O stocks	VaR + 5 times of applicable ELM*

*For aforesaid purpose the applicable VaR and ELM shall be as in the cash segment for a particular stock.

9. The initial margin payable by the client to the Stock Broker shall be in the form of cash, cash equivalent or Group I equity shares, with appropriate hair cut as specified in SEBI Master circular no. SEBI/HO/MRD/DP/CIR/P/2016/135 dated December 16, 2016. or as applicable from time to time .
10. The Client hereby understands and agrees that it shall be the responsibility of the Client to regularly monitor and review the margin availability and keep sufficient margin at all times with SGCPL. The Client also understands and agrees that in the event of available margin being reduced to 60% or less of the required margin at any point during the day or end of day due to any reason, the client's collateral/security/positions may be liquidated immediately by SGCPL without any reference to the client. Client can also ascertain his/her/its margin availability and surplus/shortage in margin on real time basis from information on margin utilized, Mark to Market(MTM), ledger balance, collateral value etc provided by SGCPL on its website/mobile application. . Further, SGCPL may also inform the Client on MTM/margin status during the day and or at the end of the day through SMS and or email.

11. The Client agrees that SGCPPL shall be entitled to sell, pledge, block / unblock, deal with or otherwise transfer the Securities of the client to any third party, including the Clearing Corporation/House of the respective exchanges (if acceptable by exchanges) for any purpose and declare to the third party that all the Securities are being provided to such parties as securities being the unencumbered, absolute and disposable property of SGCPPL and free from any prior charge, lien or encumbrance, and to execute transfer documents and/or any other necessary documents, wherever applicable or other endorsements for this purpose. SGCPPL shall be entitled to receive from the Client all costs, charges, expenses incurred by SGCPPL for the aforesaid purposes as well as any consent, ratification or the like which shall not be withheld or delayed for any reason and in case of failure of which SGCPPL is hereby permitted and authorized to provide the same for and on behalf of the Client.
12. The exchange/stock broker, based on the risk assessment, shall have the discretion to impose/collect higher margin than the margin specified in para-8 above.

Liquidation of Securities by the Stock Broker in Case of Default by the Client

13. The Stock Broker shall have the right to liquidate the securities/position, if the client fails to meet the margin and or to comply with the conditions as mentioned above or specified in the "Rights and Obligations Document" specified by exchange with respect to MTF. The position may be squared off and or securities/collaterals may be liquidated on best effort basis by the Stock Broker, however, the Stock Broker shall not be responsible for any loss, including on account of any fluctuation, execution, partial or non-execution of the trades or any loss of opportunity or notional profit due to any reason whatsoever.

Closure /Termination of MTF Account

14. The Client may close / terminate the Margin Trading Account at any time after paying all the dues payable by the Client related to the client's MTF account &/or any other segment/exchange with the Stock Broker
15. The Stock Broker shall have the right to close client's MTF Account without assigning any reason by giving 30 days notice to the client.

Other Conditions

16. The Client shall lodge protest or disagreement with any transaction done under the Margin Trading Facility within the next working day on which the MTF trade is executed.
17. Any disputes arising between the client and the Stock Broker in connection with the Margin Trading Facility shall have the same treatment as normal trades and shall be covered under the investor grievance redressal mechanism/ arbitration mechanism of the stock exchange.
18. The client understands and agrees that Stock Broker may at any point of time adjust the debit balance of normal transactions account to the balance of MTF account of the client or vice-versa.
19. The delivery of the securities given by the client can be released on the request of the client at any time subject to repayment of the amount that was paid by the Stock Broker to the Exchange towards securities and all other dues payable by the client related to the client's MTF account or any other segment/exchange with the Stock Broker.
20. SGCPPL shall not be bound to grant MTF to the Client (which decision shall be at the sole and exclusive discretion of SGCPPL) and SGCPPL shall not be required to provide any reasons thereof nor shall SGCPPL be liable for any damages, direct or consequential or whether monetary or otherwise, to the Client by reason of SGCPPL refusal to grant MTF to the Client.
21. The dues, wherever mentioned herein, includes but not limited to outstanding balances, interest, statutory taxes, duties, charges, penalties etc.
22. The client agrees that the securities of the client may be pledged for the purpose of pay-in/margin obligation of the client pertaining to his/her/its trading/MTF account by the Stock Broker from the demat account of the Client to the designated demat account of the Stock Broker for TM Client Securities under Margin Funding Account, for Funded Stocks/PayIn or Payout opened by the stock broker for the purpose of MTF using DDPI/POA, if executed by the client, in favour of the Stock Broker. The details of any other demat account, if opened at a later stage, shall be intimated by the Stock Broker separately. The client should note that the securities to be pledged by the client against margin should only be pledged by the client in the Member Broker.
23. No delay in exercising or omission to exercise any right, power or remedy accruing to SGCPPL upon any default by the Client or otherwise under these Right and Obligations document or the Contract between Member Broker & Client shall impair any such right, power or remedy or shall be construed to be a waiver thereof or any acquiescence in such default, nor shall the action or inaction of SGCPPL in respect of any default or any acquiescence by it in any default, affect or impair any right, power or remedy of SGCPPL in respect of any other default. The rights of SGCPPL under these Terms and Conditions and the Member Client Agreement(s)/ Contract between Member Broker and Clients/Rights & Obligations of Stock Broker, Sub Brokers & Clients/Policies & Procedures or any other document executed by the Client are cumulative and not exclusive of their rights under the general law and may be waived only in writing and specifically and at the sole discretion of SGCPPL.
24. The client understands and agrees that the stock broker shall be entitled to modify/alter the terms and conditions by giving a 30 days notice to the client. The

continued use of the facility by the client shall be deemed to be an acceptance by the client that he/she/it has understood the modified /altered terms and conditions and accepts the same

25. The client understands that the positions will be permitted to be continued upon fulfillment of the necessary Margin requirements as specified by SGCPL for the particular scrip from time to time. The Client agrees that though presently there is no maximum time limit prescribed by SGCPL for keeping the positions open, SGCPL reserves the right at its discretion to stipulate a maximum time within which Client will have to either square off the position or make the payments for delivery
26. SGCPL, at its sole discretion, reserves the right to either temporarily or permanently, withdraw or suspend the Margin Trading Facility in question at any time without giving any notice or assigning any reason for the same, whether in respect of one or more Clients. In case of a temporary withdrawal, the privileges may be reinstated by SGCPL at its sole discretion.
27. The Client understands that under MTF, Client would not be able to take further positions and/or existing positions may be squared off by SGCPL at its discretion on occurrence of any of the following events:
 - 1) If at any point of time total exposure across all stocks of all the Clients of SGCPL taken together under this facility exceeds the SEBI / Stock Exchange prescribed maximum allowable exposure limit specified for a stock broker. Client agrees that SGCPL may set this limit at its discretion which can be lower than the limits prescribed by SEBI/Stock Exchange as part of its risk management process.
 - 2) If the Client exceeds or is about to exceed the maximum allowable exposure for a single Client. Client understands that SEBI /Stock Exchange has prescribed a maximum limit for allowing exposure to a single Client. Client agrees that SGCPL may set this single Client exposure limit at its discretion which can be lower than the limits prescribed by SEBI /Stock Exchange as part of its risk management process.
 - 3) If the total exposure in a particular stock of all the Clients of SGCPL taken together under this facility reaches the maximum allowable limit for that stock as may be defined by SGCPL.
 - 4) If the exposure in a particular stock by a single Client under this facility reaches the maximum allowable limit for that stock for a single Client as may be defined by SGCPL.
 - 5) If the stock moves out from the list of eligible stocks under MTF and becomes ineligible for offering under MTF.
 - 6) Any other circumstances due to change in regulatory requirements from time to time or risk management process due to changing market conditions.
28. The client agrees that SGCPL may at its discretion, in accordance with its risk management policy, disable certain securities and square off all open positions in this facility in such scrips irrespective of margin availability on account of reasons including but not limited to volatility, corporate action such as stock split, issue of bonus shares, merger and the like without intimating the client in advance. Client agrees that SGCPL cannot be held liable for any losses arising out of such disablement or squaring off.
29. The client understands that Stocks bought under the MTF shall be marked to market on daily basis and collateral/margin shall be revalued frequently. Further, client agrees that no exposure shall be given on increased value of stock funded by SGCPL.

I/We have read & understood the aforesaid Rights & Obligations of Stock Broker & Clients, terms & conditions and policies & procedures pertaining to availing of Margin Trading Facility (MTF) provide by Signatureglobal Comtrade Private Limited in NSE/BSE and undertake & agree to abide by the same including the rules, regulations & byelaws of the exchange/SEBI issued from time to time in this regard.

Signature of Client

 (21)

Name:

Date:

UCC:

SELF DECLARATION - CATEGORIZATION OF THE CLIENTS FOR EACH COMMODITY

To, **Signatureglobal Comtrade Private Limited**

Date _____

Registered & Corporate Office:

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034

Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

CATEGORIZATION OF THE CLIENTS FOR EACH COMMODITY

I/We have been/shall be dealing through you as my/ our member broker on different Commodity Exchanges in my/our trading account opened /to be opened with you. I/We hereby declare my/ our category for the commodities mentioned therein, as given below in accordance with SEBI circular no. SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated January 04, 2019:

CATEGORIES							
Sr. N.	Name of Commodities	Farmer / FPO	Value chain participant (VCP)	Domestic Financial institutional investor	Foreign participant	Proprietary trader	Others
1	ALUMINIUM						
2	BARLEY						
3	BLACK PEPPER						
4	BRASS						
5	CARDAMOM						
6	CASTOR SEED						
7	CHANA						
8	COPPER						
9	CORIANDER						
10	COTTON SEED OILCAKE						
11	CRUDE OIL						
12	CRUDE PALM OIL						
13	DIAMOND						
14	GAUR GUM						
15	GAUR SEED 10 MT						
16	GOLD						
17	ISABGS						
18	JEERA						
19	KAPAS / COTTON						
20	LEAD						
21	MAIZE						
22	MENTHAOIL						
23	MOONG						
24	MUSTARD SEED						
25	NATURAL GAS						
26	NICKEL						
27	PADDY (BASMATI)						
28	PBI121						
29	PEPPER						
30	RBD PALMOLEIN						

31	REFINED SOY OIL						
32	RUBBER						
33	SILVER						
34	SOY BEAN						
35	STEELONG						
36	SUGAR M						
37	TURMERIC						
38	WHEAT						
39	ZINC						

I/We further declare that in case declaration is not provided for any of the particular exchange traded commodity, please consider the "Others" category for the same. I/We undertake that I/we shall inform the member broker in case there is any change in category for any of the exchange traded commodity.

CATEGORIES

- Farmers/FPOs: It includes participants such as farmers, farmers' cooperatives, Farmers Producers Organisations (FPOs) and such entities of like nature.
- Value chain participants (VCPs): It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Producers, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
- Proprietary traders: It includes the members of stock exchanges trading in their proprietary account.
- Domestic financial institutional investors: It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
- Foreign participants: It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
- Others: All other participants which cannot be classified in the above categories.

OPEN INTEREST DECLARATION

**Sub : My/Our request for trading in Commodity forward Contracts/Commodity Derivatives
on MCX/NCDEX as your Client.**

UCC :

Dear Sir,

I/We, the undersigned, have taken cognizance of circular no. NCDEX/TRADING-114/2006/247 dated September 28, 2006 issued by the National Commodity & Derivatives Exchange Limited (NCDEX), Circular MCX/300/2006 and MCX/338/2006 dated August 1, 2006 & August 21, 2006 issued by the Multi Commodity Exchange of India Ltd. (MCX) on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.

I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by MCX/NCDEX or SEBI and such position limits will be calculated in accordance with the contents of above stated circular of MCX/NCDEX as modified from time to time.

I/We undertake to inform you and keep you informed if I/any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUFs/ Trusts in which I or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative on MCX/NCDEX through you or through any other member(s) of MCX/NCDEX, to enable you to restrict our position limit as prescribed by the above referred circular of MCX/NCDEX as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on MCX/NCDEX only on the basis of our above assurances and undertaking.

Client Name _____

Client Code _____

Signature of Client

 (22)

1. Refusal of orders for penny /illiquid stock Penny stocks/illiquid Commodities/Commodity Contracts are defined as the stocks/commodities appearing in the list of illiquid Securities / Commodities issued by the exchange(s) every month. The Member Broker may from time to time limit (quantity/value)/refuse orders in one or more Securities /Commodities due to various reasons including market liquidity, value of security(ies) / Commodity(ies) limit ,if any, defined by the exchange either clientwise or memberwise, the order being for Securities /Commodities which are not in the permitted list of the Member Broker/exchange(s)/SEBI, provided further that Member Broker may require compulsory settlement/advance payment of expected settlement value/delivery of Securities / Commodities for settlement prior to acceptance/placement of orders as well. The client agrees that the losses, if any, on account of such refusal shall be borne exclusively by the client alone. The Member Broker may require reconfirmation of orders, which are larger than that specified by the Member Broker's risk management, and the Client is also aware that the Member Broker has the discretion to reject the execution of such orders based on its risk perception.
2. **Setting up client's exposure limits and conditions under which a client may not be allowed to take further position or the broker may close the existing positions of a client**

The Member Broker may from time to time impose and vary limits on the orders (including but not limited to exposure limits, turnover limits, limits as to the number, value and/or kind of Securities / Commodities/ Commodity Contracts in respect of which orders can be placed etc.), which the Client can place through the Member Broker. The Client is aware and agrees that the Member Broker may need to vary or reduce the limits or impose new limits urgently on the basis of Member Broker's risk perception and or other relevant factors including but not limited to limits on account of exchange/SEBI directions/limits (such as broker level/market level limits in security specific/volume specific exposures etc.) and the Member Broker may be unable to inform the Client of such variations, reductions or impositions in advance. The Client understands & further agrees that the Member Broker may at any time, at its sole discretion and without prior notice, prohibit or restrict the clients ability to place orders or trade in Securities / Commodities/ Commodity Contracts through the Member Broker, or it may subject any order placed by the client to a review before its entry into the trading systems and may refuse to execute/allow execution of orders due to but not limited to the reason of lack of margin/Security or the order being outside the limits set by Member Broker/exchange /SEBI and any other reasons which the Member Broker may deem appropriate in the circumstances. The client agrees that the losses, if any on account of such refusal or due to delay caused by such review, shall be borne exclusively by the client alone and further agrees that Member Broker shall not be liable for or responsible for such variations, reductions or impositions or the Client's inability to route any order through Member Broker on account of any reason but not limited to any such variations, reductions or impositions of limits.

The Member broker shall have the prerogative to allow differential

purchase/sell limits and or exposures varying from client to client, depending upon credit worthiness, integrity and past conduct of each client.

The member broker may close the existing position of the client without any prior notice wherein the outstanding position of the client is increased in the scrip wherein the scrip is in ban period, due to increase in open interest exceeding stipulated marketwide limit of that scrip or for any other reason, in Future & Option/Currency Derivatives Segment. The exchange(s) may cancel the trade suo-moto without giving any reason thereof. The Member Broker shall be entitled to cancel such relative contract with the Client. The Member Broker shall not be liable for any losses, damage or claims on account of such rejection or cancellation of any trade for any reason whatsoever. The Member Broker is required only to communicate /devise the parameters for the calculation of the margin /security requirements as rate(s) /percentage(s) of the dealings, through any one or more means or methods such as post/speed post/courier/registered post/registered A.D./facsimile /telegram/cable/ email/voice mails/ telephone (telephone includes such devices as mobile phone etc.) including SMS on the mobile phone or any other similar device; by messaging on the computer screen of the clients computer; by informing the client through employees /agents of the Member Broker; by publishing/displaying it on the website of the Member Broker /making it available as a download from the website of the Member Broker; by displaying it on the notice board of the branch/office through which the client trades or if the circumstances, so require, by radio broadcast/television broadcast/ newspaper advertisement etc; or any other suitable or applicable mode or manner. The client agrees that the postal department /the courier company /newspaper company and the email /voice mail service provider and such other service providers shall be the agent of the client and the delivery shall be complete when communication is given to the postal department/the courier company/the email /voice mail service provider, etc. by the Member Broker and the client agrees never to challenge the same on any grounds including delayed receipt/non receipt or any other reasons whatsoever and once parameters for margin/security requirements are so communicated, the client shall monitor his/her its position(dealings/trades and valuation of security) on his/her /its own and provide the required /deficit margin/security forthwith as required from time to time whether or not any margin call or such other separate communication to the effect is sent by the Member Broker to client and /or whether or not such communication to that effect is sent by the Member Broker to the client and/or whether or not such communication is received by the client.

The Client is not entitled to trade without adequate margin, however, the Client is responsible for all orders, including any orders that may be executed without the required Margin in the Client's account. The Client shall also be responsible for any claims/loss/damage arising out of the non availability /shortage of margin/security required by the Member Broker Signature of Client (17) and or exchange(s) and or SEBI or any other regulatory authority. Further, it shall be his/her/its responsibility to ascertain before hand the margin/security requirements of his/her/its orders/trades/deals and to ensure that the required margin is made

available to the Member Broker in such form and manner as may be required by the Member Broker. If the Client's order is executed despite a shortfall in the available Margin, the Client shall, whether or not the Member Broker intimates such shortfall in margin to the Client, instantaneously make up the shortfall either through delivery of Securities / Commodities in the event of a sale or credit the required funds in the bank account via EFT (Electronic Fund transfer) including Electronic Payment Gateway or personal cheque or Banker's cheque or draft. The client agrees to have disclaimed and waived off any right to disown the transactions /orders executed by the Member Broker under the plea that same were executed without adequate margin.

The Member Broker may at its sole discretion prescribe the payment of margin in the form of funds and/or Securities / Commodities and or any other asset acceptable to Member Broker. The Client accepts to comply with the Member Broker's requirement of payment of Margin in the form of funds and or Securities / Commodities and or any other asset acceptable to Member Broker immediately failing which the Member Broker may sell, dispose, transfer or deal in any other manner the Securities / Commodities and or any other asset already placed with the Member Broker on any account or square off all or some of the positions of the Client as it deems fit in its discretion without further reference to the Client and any resultant or associated losses that may occur due to such square off /sale shall be borne by the Client, and the Member Broker is hereby fully indemnified and held harmless by the Client in this behalf.

The margin/security/funds deposited/due by/to the client with the Member Broker shall not be eligible for any interest.

The client agrees that all Securities / Commodities and or monies and or assets belonging to the client which are deposited and or submitted and or under the control or possession of the Member Broker shall be subject to a general lien and /or set off, for discharge of any obligation or indebtedness, in any exchange/segment, in which client is / will be dealing. The Client authorize the Member Broker to inter-se transfer or hold funds, Securities / Commodities, debits, credits etc. amongst the exchanges/segments for which the Client has been dealing and or shall be dealing with the Member Broker. In enforcing the right of lien and /or set off, the Member Broker shall have the sole discretion of determining the manner and time in which the Securities / Commodities and or monies and or assets are to be appropriated/liquidated.

The Member Broker is entitled to include/appropriate any/all payout of funds and or Securities / Commodities towards margin/security without requiring specific authorizations for each pay out. The Member Broker is entitled to disable/freeze the account and or trading facility or any other services/facilities, if, in the opinion of the Member Broker, the Client has committed a crime/fraud or has acted in contradiction of the agreement/contract or is likely to evade/violate any laws, rules, regulations, directions of a lawful authority whether Indian or Foreign or if the Member Broker so apprehends.

3. Applicable brokerage rate

Brokerage shall be applied as per the rates agreed upon with the client. The rate of brokerage shall not exceed the maximum brokerage permissible under exchange rules, regulations byelaws, notices and circulars issued by exchange from time to time.

4. Imposition of Penalty/delayed payment charges:

Any amounts which are overdue from the Client on account of any reason, including margin obligations, to the Member Broker without prejudice to the other rights of the Member Brokers the client will be charged with delayed payment charges at the rate of 1.5% per month or any other rates as may be mutually agreed between the client and the Member Broker. The Client hereby authorizes the Member Broker to directly debit the same to the account of the Client on daily product basis.

The Client undertakes to indemnify the Member Broker for any penalty/charges/fines which might be levied by any regulatory authority i.e. SEBI/Exchange for non-adherence by the Client with any rules /regulations/ circulars/instructions etc. issued by such regulatory authority. The Client agrees that Member Broker reserves the right to recover from the client any penalty/charges/fines imposed by the exchanges/depositories/SEBI/other authority on the Member Broker for any orders/ trades/deals/actions of the Client which are contrary to rules/regulations/bye laws of the exchange/law for the time being in force.

The Client shall, at all time, be liable to pay Member Broker all relevant charges, fees, levies, duties apart from brokerage, exchange related charges, commissions, statutory charges such as GST and other taxes and transaction expenses as may be agreed between the Client and the Member Broker from time to time and or imposed by any regulatory authority including but not limited to the stock exchanges (including any amount on account of reassessment or backlogs etc.) and or notified by Member Broker on the website(s) and or sent by electronic communication(s) and or sent by any other mode from time to time and in the event of default of payment of any of the above mentioned charges, without prejudice to the other rights of the MEMBER BROKER.

The client understands that Member Broker is committed to provide the high quality services to clients. Since Member Broker incurs expenditure to provide requisite customized services e.g. Home service to collect delivery instruction slips(DIS), home delivery of payment of cheques, reminder of delivery shortages, updation of balances of clients on their mobile, back office access on internet to clients, transfer of payment to clients account, organizing seminars, arranging meetings with analysts and other allied services etc. The client agrees and authorizes Member Broker to recover such cost from clients by levying other charges as maybe agreed between the Client and the Member Broker from time to time and or notified by Member Broker on the website(s) and or sent by electronic communication and or sent by any other mode from time to time and in the event of default of payment of any of the above mentioned charges, without prejudice to the other rights of the Member Broker.

5. The right to sell clients' Securities / Commodities or close clients ' positions, without giving notice to the client, on account of non-payment of client's dues

The client shall ensure timely availability of funds/Securities / Commodities in designated form & manner at designated time & in designated bank(s) & depository account(s) at designated place, for meeting his/her/its pay in obligation of funds and Securities / Commodities. The Member Broker shall not be responsible for any claim/loss/damage arising out of non availability/short availability of funds/Securities / Commodities by the client in the designated account(s) of the Member Broker for meeting the

pay in obligation of either funds or Securities / Commodities. If the client gives orders/trade in anticipation of the required Securities / Commodities/funds being available subsequently for pay in through anticipated pay out from the exchange or through borrowings or any off market delivery(s) or market delivery(s) and if such anticipated availability does not materialize in actual availability of Securities / Commodities/funds for pay in for any reason whatsoever including but not limited to any delays/shortage at the exchange or Member Broker level/non release of margin by the Member Broker etc., the losses which may occur to the client as a consequence of such shortage in any manner such as on account of auctions/square off/close outs etc., shall be solely to the account of the client and the client agrees not to hold the Member Broker responsible for the same in any form or manner whatsoever.

In case the payment is made by the Client through a bank instrument, the Member Broker shall be at liberty to give the benefit/credit for the same only on the realization of the funds from the said bank instrument etc. at the absolute discretion of the Member Broker.

When the margin is made available in form of Securities / Commodities or any other property by the Client, the Member Broker is empowered to decline its acceptance as margin. The Client agrees and authorizes the Member Broker to determine the market value of Securities / Commodities placed as Margin after applying a haircut that the Member Broker may deem appropriate or by marking it mark to market or by any other method as the Member Broker may deem appropriate. The Client's positions are valued at the latest market price available ('mark to market') on a continuous basis by the Member Broker. The Client undertakes to monitor the adequacy of the collateral and the Market value of such Securities / Commodities on a continuous basis. If due to any reason but not limited to price fluctuations, there is erosion/shortfall in the value of the margins, the Client agrees to replenish any shortfall in the value of the margins immediately, whether or not the Member Broker intimates such shortfall.

The Client hereby authorizes the Member Broker to square up/sell/liquidate all his/her/its outstanding positions/ Securities / Commodities and to cancel all pending orders at the discretion of the Member Broker, for any reason including the following (a) which are not marked for delivery 15 minutes before the closing time of the relevant segment (s) of the exchanges or any other time which the Member Broker may notify through electronic communication or otherwise from time to time; or (b) when mark to market (MTM) percentage reaches or crosses stipulated percentage mentioned on the website or any other percentage which the Member Broker may notify through electronic communication or otherwise. The Member Broker will have the sole discretion to decide above referred stipulated percentage/time depending upon the market condition. Any direct or indirect loss arising out of square off/cancellation shall be at the risk of and shall be borne by the Client.

In case any open position (i.e. short or long) gets converted into delivery due to non-square off because of any reason whatsoever, the client agrees to provide Securities / Commodities/funds to fulfill the pay-in obligation failing which the client will have to face auctions or internal closeouts. In addition to this the Client will have to pay penalties and charges levied by exchange in actual and losses, if any. Without prejudice to the foregoing, the Client is liable for all and any penalties/charges/ fees/fines levied by the exchange(s).

Without prejudice to the Member Broker's other rights including the right to refer the matter to Arbitration, if payment / Securities / Commodities towards the Margin and or shortfall in Margin and or any other payment obligation of the Client towards the MEMBER BROKER is not received instantaneously to enable restoration of sufficient Margin/balances in the Client's account with the MEMBER BROKER, without any reference or prior notice or communication to the Client, the Member Broker has the right but not the obligation to take one or more of the following steps:

- (i) All or some of the positions/Securities / Commodities/shares of the Client as well as the Securities / Commodities placed as Margin or otherwise including but not limited to Securities / Commodities which are pending delivery/receipt with Member Broker may be liquidated/closed by the Member Broker at its sole discretion and at such rate and time as the Member Broker may deem fit.
- (ii) To withhold any payout of funds/Securities / Commodities.
- (iii) To withhold/disable the trading/dealing facility to the client.
- (iv) To cancel all pending orders.
- (v) To take any other steps which in the given circumstances, the Member Broker may deem fit.

The resultant or associated losses that may occur due to such squaring off/cancellations and or sale of Securities / Commodities/positions shall be borne by the Client and the Member Broker is hereby fully indemnified and held harmless by the Client in this regard. Such liquidation and or close out or cancellations of such Securities / Commodities/positions shall apply to any segment/ exchange in which the Client does business with the Member Broker.

The Member Broker is entitled to prescribe the date and time by which margin/security is to be made available and the Member Broker may refuse to accept any payment in any form after such deadline for margin/security expires.

Any reference to sale or transfer of Securities / Commodities and or any other asset by the Member Broker shall be deemed to include sale or transfer of all the Securities / Commodities and or any other asset lying with MEMBER BROKER for any reason/account including which form the part of the Margin maintained by the Client with the Member Broker. In exercise of the Member Broker's right to sell or transfer Securities / Commodities and or any other asset, the Client agrees that the choice of specific Securities / Commodities and or any other asset to be sold or transferred shall be solely at the Member Broker's discretion.

The Securities / Commodities purchased by the client in CM Segment and or F&O segment (under physical settlement) shall be settled as under:

- i) The Securities / Commodities purchased by the client in CM Segment and or F&O segment (under physical settlement) for which the payment is made/credit balance is available, in full on or before pay in day shall be transferred to beneficiary demat a/c of the client from Pool a/c within 24 hours of payout.
- ii) The Securities / Commodities purchased by the client in CM Segment and or F&O segment (under physical settlement) for which the full credit balance is not available in the account of the client or the payment is not/partially made by the client, shall be pledged to Client Unpaid Securities Pledge Account (CUSPA)

- iii) The Securities / Commodities lying in the (CUSPA) shall be unpledged to the beneficiary demat account of the client only on the receipt/availability of full amount from the client
- iv) The Securities / Commodities lying in the (CUSPA) shall be liquidated to the extent of debit balance within 5 trading days from the pay-out date of such Securities / Commodities without further notice to the client and the Securities / Commodities so liquidated shall be unpledged to beneficiary demat account of the client for meeting payin obligation. No Securities / Commodities shall be remained pledged in (CUSPA) for more than 5 trading days from the pay-out date of such Securities / Commodities.
- v) The choice of selection of Securities / Commodities to be liquidated against debit balance from (CUSPA) will be at the sole discretion of the Member Broker depending upon the liquidity of the scrip, volatility in the market or any other factor as the Member Broker deem fit in each case.
- vi) The Securities / Commodities of the client can be transferred in the beneficiary demat account of the client without/partial receipt of the payment at the sole discretion of the Member Broker in those cases where the said Beneficiary Demat Account is opened with Member Broker DP and the client has given DDPI authorisation/executed Power of Attorney (POA) in favour of Signatureglobal Comtrade Private Limited.

6. Shortage in obligations arising out of internal netting of trades

The Client agrees that, the Member Broker shall not be obliged to deliver any security or pay any money to the Client unless and until a) the same has been received by the Member Broker from the exchange/ the clearing corporation / clearing member or entity liable to deliver the security and/or make payment the; and b) after the Client has fulfilled his / her / its obligations first.

Cash Segment

The Client agrees that, in case the Client buys Securities in cash segment of the exchanges in one settlement, and sells the same in any subsequent settlement, without having received the payout of the Securities from the Exchange, any resulting auction by the Exchange and consequent losses will be the borne by the Client.

The Client agrees that in following cases;

- a) where he/she/it has made any purchase of Securities in Cash segment of the exchanges and the delivery of the same falls short at inter-client level (i.e. internal short) of the Member Broker;
- b) where he/she/it has made any sale of Securities and due to any exigencies he/she/it is/are unable to make available the delivery of the same in the designated account with in the designated time and it results in an inter client short delivery (i.e. internal short) at Member Broker level;
- c) where Securities having corporate actions; all cases of short delivery of cum transactions which cannot be auctioned on cum basis or where the cum basis auction payout is after the book closure/record date;

The Member Broker is authorized to close out the transaction at rate which is higher of i) auction day closing rate + 3% or ii) seller's rate or iii) buyer's rate or iv) at a price Member Broker deems fit for close out of said Securities.

Derivatives Segment

The Client further agrees that, in following cases:

- a) where he/she/it has bought futures/call options &/or sold put options in equity/commodity derivative segment of the exchanges, the delivery of which results in physical settlement of securities/commodities and delivery falls short with Member Broker level, either at inter-client level (i.e. internal short) of the Member Broker or at inter-client level (i.e. internal short) of the Clearing Member affiliated to the Member Broker;
- b) where he/she/it has sold futures/call options &/or bought put options Contract in equity/commodity derivative segment which results in physical settlement of securities/commodities and due to any exigencies he/she/it is/are unable to make available the delivery of the same in the designated account with in the designated time and it results into inter client short delivery (i.e. internal short) at Member Broker/Clearing Member level;
- c) where Securities having corporate actions; all cases of short delivery of cum transactions which cannot be auctioned on cum basis or where the cum basis auction payout is after the book closure/record date; Member Broker/Clearing Member, as the case be, is authorized to close out the transaction at rate which is **higher** of i) auction day closing rate + upto 20% (or any other rate communicated by the Member Broker/Clearing Member/Exchanges from time to time) or ii) seller's rate or iii) buyer's rate or iv) at a price Member Broker deems fit or v) as communicated by the Clearing Member for close out of said Securities/Commodities.

The Client agrees that he/she/it will not dispute, any close out amount / penalty so debited to him/her/it, as above in any segment, by the Member Broker/Clearing Member, under any circumstances.

7. Policy for Inactive Clients (Dormant Account)

Client status is observed on monthly basis & clients who have not traded during the last Twelve (12) Months are marked "inactive" as "Dormant" in the back office as well as UCC database of the respective exchanges & funds payable to the client and Securities / Commodities, if any, pledged/re-pledged will be de-pledged after deducting demat or any other charges due from the clients. The files uploaded on the trading servers on daily basis update the current status on the terminals. Whenever a client who is marked inactive as dormant intends to trade, the details of the client viz. Address, Contact No., Demat & Bank account etc. will be confirmed & a written request for re-activation of the account will be taken before executing any trade in that particular client code. In person verification (IPV) will also be done at the time of reactivation of account where the trade has not been done for more than two years in the said account. In case of any change, the updation form along with the supporting documents will be taken. In case of very old dormant a/c, the entire KYC Form will required to be taken along with the other supporting documents.

8. Temporarily suspending or closing a client's account at the client's request

- i. The client may request the Member Broker to temporarily suspend his/her/its account, Member Broker may do so subject to client accepting/adhering to conditions imposed by Member Broker including but not limited to settlement of account and/or other obligation.
- ii. The Member Broker can with hold the payout of client and suspend

his/her/its trading account due to his/her/its surveillance action or judicial or/and regulatory order/action requiring client suspension.

- iii. Temporarily suspending or closing a client's account at the Member's discretion "The Member Broker may suspend the account of the Client in following circumstances:
 - a. Where the client is inactive for the past Twelve (12) Months with observation period being the month end.
 - b. Based on recommendations made by the Branch Head due to any reason including but not limited to excessive speculations, uncleared balances etc.
 - c. Physical Contract notes are received back undelivered due to reasons like "no such person", "addressee left", refusal to accept mails, POD's signed by the third persons, signature mismatch on POD's or other reasons which may create suspicion, after close out of open positions, selling/liquidation of client collaterals/ Securities / Commodities/shares or square off due to any reason whatsoever.
 - d. Bounced emails on more than 3 instances until the client submits and registers new email id.
 - e. Non delivery of periodic statement of accounts sent on periodic basis
 - f. Non updation of communication details viz. email id, mobile number, land line phone number or if it is found to be belonging to some third person.
 - g. Client places a complaint either directly to the Member Broker or the exchange(s) relating to alleged unauthorised trades being executed in his/her/its account.

9. De-registering a client

Notwithstanding anything to the contrary stated in the agreement / contract, the Member Broker shall be entitled to terminate the agreement/contract with immediate effect in any of the following circumstances:

- i. If the action of the client are prima facie illegal/improper or such as to manipulate the price of any Securities / Commodities or disturb the normal /proper functioning of the market, either alone or in conjunction with others.
- ii. If there is any commencement of a legal process against the Client under any law in force.
- iii. On the death /lunacy or other disability of the client.
- iv. If a receiver, administrator or liquidator has been appointed or allowed to be appointed of all or any part of the undertaking of the Client.
- v. If the Client has voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;
- vi. If the Client being a partnership firm, has any steps taken by the Client and/or its partners for dissolution of the partnership;
- vii. If the Client has taken or suffered to be taken any action for its reorganization, liquidation or dissolution;
- viii. If the client has made any material misrepresentation of facts, including (without limitation) in relation to the security;

- ix. If there is reasonable apprehension that the Clients is unable to pay its debts or the Client has admitted its inability to pay its debts, as they become payable;
- x. If the Client suffers any adverse material change in his/her/its financial position or defaults in any other agreement/contract with the Member Broker;
- xi. If the Client is in breach of any term, condition or covenant of the agreement/contract;
- xii. If any covenant or warranty of the Client is incorrect or untrue in any material respect;

Client Acceptance of Policies and Procedure stated hereinabove:

I/We have fully understood the same and do hereby sign the same. These Policies and Procedure may be amended/changes unilaterally by the Member Broker, provided the change is informed to me/us through any one or more means or methods such as post/speed post/courier/registered post/registered A.D/Facsimile/email/voice mails/telephone (telephone includes such devices as mobile phones etc.) including SMS on the mobile phone or any other similar device; by messaging on the computer screen of the client's computer; by informing the client through employee /agents of the Member Broker; by publishing/displaying it on the website of the Member Broker/making it available as a download from the website of the branch /office through which the client trades or if the circumstances, so require, by radio broadcast/television broadcast /newspaper advertisements etc; or any other suitable or applicable mode of manner. These Policies and Procedure shall always be read along with the agreement/contract and shall be referred to while deciding any dispute /difference or claim between me/us and Member Broker before any court of law/judicial adjudicating authority including arbitrator /mediator etc.

If any of the term(s)/ clause(s) or part of any term/ clause of the Policies & Procedures, is/are held to be contravention of /in conflict with any of the provision(s)/clause(s) in mandatory documents, as also the Rules, Regulations, Articles, Byelaws, circulars, directives, notices and guidelines and issued by the Regulator and Exchange(s) or held invalid or unenforceable by reason of any law, rule, administrative order or judicial decisions by any court, or regulatory or self-regulatory agency or body, such contravening term(s)/clause(s) or part of term/clause of the Policies & Procedures shall be null & void. The validity of the remaining term(s)/clause(s), however, shall not be affected thereby and these term(s)/clause(s) shall be carried out as if any such contravening/invalid/unenforceable terms(s)/ clause(s) or part of such term/clause were not contained herein.

Signature of Client

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CONTRACT BETWEEN MEMBER BROKER & CLIENT

This agreement is made at the place specified as place of execution & on the date as specified as date of agreement by and between:

M/s Signatureglobal Comtrade Private Limited a body corporate, incorporated under the provisions of the Companies Act, 2013, being a Member of the National Stock Exchange of India Ltd.(NSE) & BSE Ltd.(BSE) in Equity, Equity Derivatives & Currency Derivatives Segment & Member of Commodities Derivatives Segments of NSE, BSE, MCX & NCDEX having SEBI Registration Number - INZ000192436 and having its Registered Office at Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-1, Netaji Subhash Place, Pitampura, Delhi-110034 (unless the context requires otherwise thereafter called the "MEMBER BROKER" /"SGCPL") which expressions shall, unless repugnant to the context or meaning thereof, be deemed to mean and include its successors in title & assigns, as the case may be, of the One Part;

And

Mr./Ms/M/s. _____
 _____ an individual/ a sole
 proprietary concern / a partnership firm / a LLP / a body corporate, registered /
 incorporated, under the provisions of the Indian Partnership Act, 1932/ LLP Act,
 2008/ the Companies Act, 1956 or 2013, having his/her/its Residence / Registered /
 Corporate Office at _____
 (hereinafter called "the client") which expression shall, unless repugnant to the
 context or meaning thereof, be deemed to mean and include his/her heirs,
 executors, administrators and legal representatives/the partners for the time being
 of the said firm, the survivor or survivors of them, the member or members for the
 time being of the Hindu Undivided Family (HUF) and their respective heirs,
 executors, administrators and legal representatives/its successors/assigns, as the
 case may be, of the Other Part;

National Stock Exchange of India Limited, Multi Commodity Exchange of India Limited, National Commodities & Derivatives Exchange Limited are hereinafter referred as "Exchange", collectively or individually, as the context requires.

Whereas, MEMBER BROKER and Client enter into this agreement voluntarily for smooth functioning of the services offered by the MEMBER BROKER on the above referred Exchanges Now, in view of the foregoing

I. The Client agrees and acknowledges that it is recommended by the MEMBER BROKER to give orders/instructions in writing and to take acknowledgement of the same in writing from the MEMBER BROKER/sub broker/Authorised Person simultaneously, to avoid any disputes or differences and if the client chooses to give orders/instructions in a mode other than in writing or if the client does not take acknowledgement of order/instruction given in writing, the client shall be deemed to have disclaimed and waived any right to dispute regarding as to whether or not the orders/instruction were correctly received and/or entered into the trading system, including the plea that the orders/instruction were entered without express directions/authorization of the client. It is agreed and understood by the client that if the client is unable

to produce the acceptance of order/instruction by the MEMBER BROKER in writing, it shall be deemed that such order/instruction was in fact given verbally/orally as entered into the trading system and the waiver as stated herein above shall apply. The Client agrees that it shall be his/her/its sole responsibility to ascertain the status/results/trade of any orders given by him/her/it and no separate confirmation of the rejection of the order would be sent to the client either physically or electronically

2. The Client understands that placing an order with the MEMBER BROKER including a market order, does not guarantee execution of the order. The Client agrees that he/she/it will receive the price at which his/her/its order is executed by the exchange's computer system and such price may be different from the price at which the security/commodity is trading when his/her/its order is entered into the Member Broker systems. The MEMBER BROKER has the absolute right to reject any order that may be made by the Client for any reason whatsoever including for the breach of the requirement of maintaining the prescribed Margin in the Client Account.
3. If any transaction(s) under this Agreement or otherwise with the MEMBER BROKER, has/ have been executed by the Client and/or on behalf of the CLIENT by any other person, and the same has/have been accepted by Client from time to time on the basis of the contract note(s)/bills/any other communication dispatched/communicated to the CLIENT by the MEMBER BROKER and/or by part or full settlement of the said transaction(s) by the client then such transaction(s) shall be deemed to be executed by the Client and/or the person authorized by the CLIENT and the CLIENT hereby agrees to ratify and accept all such or other actions of such persons and undertakes to meet all obligations arising from these transactions.
4. The client further accepts and acknowledges that he/she/it would observe proper caution and due diligence while giving orders to the dealers/entering orders into trading platform provided by MEMBER BROKER under Internet Based Trading (IBT) services. The Client agrees and undertakes to ensure that there will not be any attempt at price distortion and/or price rigging made through any means. The client hereby will keep indemnified the MEMBER BROKER for any loss, claim, expenses, liability in this respect. The client acknowledges that MEMBER BROKER reserves the right to keep in abeyance the funds/securities / Commodities /other collateral as may be deemed fit by MEMBER BROKER depending upon the gravity of the violation or /and through trades done by/on behalf of the client considered as inappropriate/unacceptable by MEMBER BROKER/the relevant exchanges(s) / regulator(s). The client further understands and accepts that MEMBER BROKER also reserves the right to levy any penalty for such trades done by/on behalf of the client considered as inappropriate /unacceptable by MEMBER BROKER/the relevant exchange(s)/regulator(s),as may be deemed fit by MEMBER BROKER/the relevant exchange {s}/ regulator(s).
5. Client shall enter into all trades on his/her/its own account and shall not do

Signature of Client

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any sub broking activity/unregistered intermediary and/or shall not do any activity which may constitute indulging in sub-broking/ unregistered intermediary activities. In case Client is found to be indulged in any such activity he/she/it shall be immediately debarred from trading.

6. The Client agrees that any securities/Commodities and or funds placed/deposited by him/her/it on any account with the MEMBER BROKER including as collateral/margin/ permitted by the Client to be retained in running account etc. may in turn be placed/ pledged/ deposited by the MEMBER BROKER with the Exchanges or Banks or such other institutions as the MEMBER BROKER may deem fit for the purposes of raising funds, bank guarantees, FDR etc. or towards margin/collateral as also to meet shortfall in his/her/it's funds/securities/Commodities/pay in obligation/auction or assignment of contracts or any other liability arising out of his/her/it's dealings with/through the MEMBER BROKER. The Client authorizes the MEMBER BROKER to do all such acts, deeds and things as may be necessary and expedient for placing such securities/ Commodities /funds with the Exchanges/Banks/ Institutions. The Client specifically agrees that no interest shall be payable on such amount or any other credit balance as maintained/lying with MEMBER BROKER even if the same is placed as bank deposit, FDR or in liquid funds. The Client further authorizes MEMBER BROKER to sell/liquidate these securities/ Commodities /funds at the time and manner of MEMBER BROKER's convenience, as and when deemed fit by MEMBER BROKER in its absolute discretion to meet any shortfalls in the Client's account or any other liability of Client.
- 7.1 The Client hereby agrees that it is the sole responsibility of the client to know the status/declaration of all corporate benefits including but not limited to rights issue, bonus issue, dividends, offer for sale and stock split of shares/buy back etc. announced by issuer company from time to time, for securities of company(ies) in which client intends to trade and/or those which are kept by client with MEMBER BROKER for ease of dealing/margin /collateral etc. and make appropriate trading/dealing decisions by appropriately considering the impact of and corporate action(s). MEMBER BROKER shall not be liable for and losses suffered by client, if client fails to apply, due to and reason, to such corporate benefits for the shares held by MEMBER BROKER in beneficiary account on behalf of client.
- 7.2 The client understands that some corporate benefits will require some action for obtaining the same, such as for rights issue etc. It is understood and agreed that client shall free the securities from an/ margin/collateral / pay in obligations etc. and get them moved (through a written request given well in advance) to client's own beneficiary account and take necessary action for applying and /or receiving corporate benefits. The MEMBER BROKER may upon (written request given well in advance) take actions for and on behalf of client to apply and /or receive such corporate benefits such as for right Issue/Open Offer /Buy Back for the shares held by MEMBER BROKER on behalf of client (subject to client making available free funds etc. for the same well in advance). However, MEMBER BROKER shall not be liable for any loss/claim whatsoever for and reason including in the event such application is rejected by Registrar/ Company manager to the issue for and reason.
- 7.3 The client agrees and undertakes to return back any excess securities/ Commodities /funds etc. ever transferred to his/her/its depository account(s)/Bank account(s) registered with the MEMBER BROKER and or other depository participant/Banks &/or delivered physically or otherwise to him/her/it alongwith all corporate benefits, if and. Such excess transfer may take place on account of and reason including operational and technical errors.
8. The Client agrees that he/she/it shall make all the payment and or deliver the securities / Commodities only in the name of MEMBER BROKER and the payment shall be by way of account payee bank instruments like cheque / draft/RTGS/NEFT etc. The client further agrees and undertakes that he/she/it shall not make any cash payment to the Member Broker and or any person for and on behalf of the Member Broker and or any person for and on behalf of the Member Broker. The client indemnifies and shall keep indemnified the MEMBER BROKER from any claim / action / expenses/ penalties / proceedings / losses/ damages etc. brought or commenced against MEMBER BROKER for any act of the client being contrary to this clause.
9. The Client shall ensure that all the payments including towards pay- obligations/ margin obligation etc. in favour of MEMBER BROKER are issued from the bank account of the Clients and no third party cheques are issued or lodged into bank account of MEMBER BROKER. For all purposes including for the purpose of this Agreement and/or Rights & Obligations and/or Policies & Procedures and/or other relevant document(s), "Cheque(s) / Draft/ RTGS / NEFT would mean and include cheques, drafts, banker's cheques and or bank transfer/RTGS/NEFT in any other mode". In case of any such third party cheque is received/lodged and or used for the obligation of the Client, and the Client does not inform the same to the MEMBER BROKER in writing to corporate office and / or via electronic mail to info@signatureglobaltrade.com within 7 days from the delivery/display of the statements of accounts, data etc. to the client's by electronic communication or otherwise, then it shall be presumed that the Client has made the payments to the MEMBER BROKER by way of a cheque from third party with the full authority and consent of the third party and Third party payment is not acceptable in case and dispute and liability arises because of third part/cheque/credit having been used by the Client, he/she/it shall be solely liable for all the consequences thereof including and criminal liability. The client hereby indemnifies and shall keep indemnified MEMBER BROKER for and against and loss/claim, liability, action etc. including any criminal liability arising out of such act/negligence of Client directly or indirectly.
10. Notwithstanding anything stated above all the communications including relating to orders, margin, maintenance calls and other similar matters by the MEMBER BROKER to the Client may be communicated orally or by the usual business practices generally followed and or as agreed between the parties either expressly or impliedly.
11. The Client authorizes the MEMBER BROKER to deliver/adjust collaterals, securities/Commodities deposited with the MEMBER BROKER on any account including in Margin account against his/her/its sale directly to the MEMBER BROKER's Pool/Client Collateral account for pay-in of securities /

Commodities to the Exchange on his/her/its behalf.

12. The payment of Margin if in the form of securities will only be accepted by way of pledging of securities as per the procedure of exchange /depositories. Payment of margins by the Client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the Client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate/require.
13. The Client is aware that the Member Broker may tape-record the conversations between the Client and the Member Broker, either personally or over the telephone, and hereby specifically permits the Member Broker to do so. Such recordings may be relied upon by the Member Broker as and when required to resolve disputes in connection with all matter but not limited to the trading transactions.
14. The Client authorizes the MEMBER BROKER to debit charges for depository services, bank charges on account of dishonor of cheques, stop payment instruction charges, demand draft/pay order/PGP charges, NEFT/RTGS charges, call and trade charges, SMS charges, settlement/professional charges (as may be applicable to NRIs), research service /message fee, trading platform charges, application money and processing charges for mutual funds, initial public offerings/follow on public offerings, right issues, fixed deposits, money market instruments, etc. generally various investment avenues/products, processing fees/charges towards contract note/settlement of account etc. and any other such or similar fees/charges which are due/may become due to MEMBER BROKER.
15. It is clearly understood and agreed to by Client that no service/facility in the nature of portfolio management/ advisory services etc. are contemplated under the agreement(s) between the Client and MEMBER BROKER. No person working for or under MEMBER BROKER including dealer, relationship manager, sub broker or authorized person is permitted to provide any services, facilities except that of order placement in trading system(subject to limitations stated above) upon directions of client. If the client accepts any portfolio management services/advises/discretionary trading arrangements/ facility from any person including but not limited to dealer, relationship manager, sub broker or authorized person then in that event MEMBER BROKER shall in no way be responsible for the outcome of such services/facilities accepted by client, and such arrangement if nay between the client and any person including the dealer, the relationship manager, sub broker or authorized person etc. shall be personal, mutual and private relationship between the client and such person(s) including dealer, relationship manager, sub broker or authorized person and client shall have no recourse/right against MEMBER BROKER what so ever either in law or equity.
- 16.1 The Client agrees and authorizes MEMBER BROKER to issue the contract notes, trade confirmations, order status, bills, margin statements, margin calls, settlement cycles/holidays, delivery/payment cycles/obligations, and account statements or such other data, documents, notices, circulars, any amendments in this Agreement and/or Right & Obligations, and/or Policy &

Procedure and/or other relevant document(s), including obligatory statements/documents to be sent to the Client related to his/her/its trading account with the MEMBER BROKER, in digital format by electronic communications, with the possible exception of graphic insertions. For all purposes including for the purpose of this Agreement and or any other document, such electronic communication shall be in the form of email and or email attachment including auto replies from the system of MEMBER BROKER and/or posted only on the relevant section of the website-{s} and/or in the form of download available on the websites www.signatureglobaltrade.com (and / or any other website as may be intimated by the member broker from time to time as part of the back office services) or SMS on the registered mobile number or messaging on the trading software or otherwise.

- 16.2 The Client understands and agrees that it is his /her/ it's responsibility/obligation to review regularly and or upon first receipt, all confirmations, statements, notices, contract notes, bills and other communications sent by electronic communication or in physical mode via post/courier etc. or otherwise. All information contained therein shall be binding upon the Client, if the Client does not object, either in writing by registered A.D. addressed & sent to the MEMBER BROKER's Integrated Back Office at Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034 or via electronic mail to info@signatureglobaltrade.com, from his/her/its registered email id within 1 days after any such document is sent to the Client by the MEMBER BROKER. The Client also agrees that MEMBER BROKER shall not take cognizance of out-of-office/out-of-station auto replies. The Client shall deemed to have received such electronic communications immediately upon the Member's sending/display of the electronic communications, non-receipt of bounced mail notification from any one of the email addresses of the Client as referred in this clause hereinafter with the MEMBER BROKER, shall amount to valid delivery.
- 16.3. The email id(s) provided by the Client in the KYC form and or in the authority letter for the Electronic Contract Note ECN shall be deemed to be the designated email id-{s) of the Client for all the communications to be sent by the MEMBER BROKER to the Client. The delivery of the above referred ECN, data, documents etc.to any of the designated email id (s) as referred to above shall be deemed to be a valid delivery.
- 16.4 The Client further agrees not to hold the MEMBER BROKER responsible for late/non-receipt of above referred documents etc. sent in electronic form and/or any other communication for any reason but not limited to failure of servers, loss of connectivity, email in transit etc. The Client agrees that the log reports of MEMBER BROKER's dispatching software shall be conclusive proof of dispatch of contract notes and/or all the above referred documents etc. to him/her/it and such dispatch shall be deemed to mean receipt by him/her/it and shall not be disputed by him/her/it on account of any non-receipt/delayed receipt for any reason whatsoever, non-receipt of bounced mail notification shall amount to valid delivery.
- 16.5 Notwithstanding anything stated above, the member broker shall send

Electronic Contract Note (ECN) on designated email address(s) of the Client, MEMBER BROKER shall also make available ECN downloadable at the Member's website(s) through the back office login. This would enable the Clients to view their contract notes, data, documents etc. through back office software for which the login and password is issued to the Client by the MEMBER BROKER.

16.6. The Client accepts full responsibility for the monitoring and safeguarding of his/her/it's account. The Client shall notify MEMBER BROKER in writing, delivered via- designated e mail address and Registered post within 24 hours of him/her/it becoming aware of any inaccurate /erroneous information/entry in the account balances, documents, transaction history etc. If the Client fails to notify HE HBER BROKER immediately upon the receipt by him/her/its when any of the above occur, neither MEMBER BROKER nor any of its officers, directors, employees, agents affiliates or subsidiaries can or will have any responsibility or obligation towards the Client or to any other person whose claim may arise for any reason including but not limited to claims arising with respect to the handling, mishandling or any loss including of any order/payment. The Client hereby indemnifies and shall keep indemnified the MEMBER BROKER for and against any loss/claim/liability / cost etc. arising out of such inaccurate/erroneous information/entry in the account balances, documents etc.

16.7 The MEMBER BROKER shall provide initial password and login for the back office services for the purposes as stated above. The Member Broker shall deliver to the Client password and login through registered post/courier, which may form a part of the welcome letter or any other communication including but not limited to email/voice mail/SMS/Fax sent by MEMBER BROKER to the Client. 'Back office' or Back office Service' for this purpose shall mean and include access provided on MEMBER BROKER's above referred website(s) from time to time to the Client to access the above referred documents, data etc. The initial password sent by MEMBER BROKER should only be used for the first login. The Client agrees and undertakes to immediately reset his/her it's password(s) upon the first login. In case the password is lost, the Client will have to make a request for creating a new password or reset the password himself/herself/itself on the website or use other means of communication(s) such as SMS from registered mobile phone which allows authenticated password resets. The Client shall, within 24 hours on his/her/it becoming aware, notify MEMBER BROKER in writing with full details if he/she/it discovers or suspects, unauthorized access through his/her/its password or notice discrepancies that might be attributable to unauthorized access or discovers any flaw in the back-office service.

In any of the above events specified, the Client shall immediately change his/her/its password. However, if the Client is unable to change his/her/it's password due to any reason including of his/her/it's having forgotten his/her/its password or his/her/its password having been unauthorisedly changed by some other person or for any other reason then the Client shall immediately request MEMBER BROKER in writing and follow the instructions given by MEMBER BROKER. MEMBER BROKER shall not be liable for any loss, whether notional or actual, that may be suffered by the Client on account of

the misuse of the password at any point of time by any person.

17. The MEMBER BROKER's record of the trades/ transactions etc. shall be accepted as conclusive and binding on the Client for all purposes.

18. Quotes, research information, historical information and other content on the website(s) is provided by various third party service providers from time to time. Daily updates are subject to receipt from the respective third party data contributors. The latest quotes are subject to market fluctuations and will be subject to a delay. Market quotes and other information on the website(s), as well as reference materials or links to other sites, are for general informational purposes only, and are not intended to be relied upon for transactional or other purposes. Neither the MEMBER BROKER nor any of its data or content providers shall be liable for any errors or delays in the content, or for any actions taken or omitted to be taken in reliance thereon. Opinions expressed in any information contained on the website(s) are not indication of prospective rating/grading. Any rating awarded by the content providers, Affiliate Partners, Service Providers, the MEMBER BROKER or website(s), should not be treated as a recommendation to buy/sell hold any Security. The client shall rely exclusively on his/her/its best judgment in respect thereof. Stock quotes and mutual fund Net Asset Values (NAYs) and news stories, which are accessed, may be delayed.

19. The Client hereby authorizes the MEMBER BROKER in its absolute discretion to maintain records/ books of accounts for the Client separately or collectively for different exchanges / segments of the exchanges &/or any other service which the Client may be availing such as Advisory Services/ Depository Services etc. It shall be the sole responsibility of the Client to ascertain the balance of securities/ Commodities and or funds in all the accounts separately and the Client shall be required to pay/make available necessary monies/funds and or securities / Commodities into anyone or more such segregated/aggregated account(s) notwithstanding the fact that an aggregation of the accounts may not require from the Client either any securities/ Commodities and or monies/funds and the Client hereby agrees not to hold the MEMBER BROKER responsible for considering shortage of margin/security and or shortage of pay in obligations in any account, without aggregating/summing such accounts with other accounts.

20. The Client understands that the MEMBER BROKER may produce fundamental and trading research/ notes, particularly on companies, industry, stock markets, commodity markets and economy from time to time (collectively hereinafter referred to as "material").The Client hereby requests the MEMBER BROKER to provide him/her /it with the material through email or by access to the website(s) of MEMBER BROKER or through SMS or any other mode on a voluntary basis. By agreeing to receive such material, the Client is aware that this material provided to him/her/it is only for his/her/its personal information. The Client understands that this material is not an offer or the solicitation of an offer to buy/sell any security/commodity. The Client alone shall be fully responsible/liable for his/her/its investments/trading decisions on the basis of this material. The Client agrees that the MEMBER BROKER would have no responsibility to update any information provided to the Client nor does it represent that the information provided in the material is

complete/accurate. Further by providing such material MEMBER BROKER is not acting as his/her/its portfolio or financial adviser nor does it assume any fiduciary duties. By sending this material on his/her/its request, MEMBER BROKER or any of its officers, directors, personnel and employees shall not be liable for any loss, damage of any nature, including but not limited to direct, indirect, punitive, special, exemplary, consequential, as also any loss of profit in anyway arising from the use of this material in any manner.

21. The Client understands that the closing of the account of the Client will not affect the rights and / or obligations of either the MEMBER BROKER or the Client incurred prior to the date the account is closed.
22. The CLIENT undertakes to read all the relevant offer documents and terms and conditions of all schemes of all mutual funds, other securities including but not limited to Initial Public Offerings, Rights Issue, Buy Back Offer, and other investment products which the MEMBER BROKER and or Signatureglobal Comtrade Private Limited Company may offers through its website(s)/trading software/trading system before entering into any transactions through its website(s)/trading software/trading system. Neither the MEMBER BROKER/Signatureglobal nor any of the Mutual Funds/Issuers chosen shall accept any liability which may arise as a consequence of the erroneous Information provided by the CLIENT. In case of Mutual Fund, the units of schemes shall be allotted, redeemed or switched, as the case may be, as per the terms and conditions of the relevant schemes/registrars of the scheme. The MEMBER BROKER shall not be liable for any kind of loss including but not limited to that may arise to the CLIENT as a result of the correct NAV not being allotted to the CLIENT'S transactions on the web site/trading software. In case of securities, the order for purchase, sale, offer under buy back etc. shall be accepted by the MEMBER BROKER only if the same is received by the cut off date as indicated on the website(s) and consistent with the terms of the offer. The Member Broker may impose its own terms and conditions from time to time in this regards as indicated on the website of the Member Broker. In any case the MEMBER BROKER shall not be liable for any kind of loss for any reason in this respect. It is explicitly stated herein that the mutual funds schemes/offer documents/other schemes offered by the MEMBER BROKER, have not been recommended by the MEMBER BROKER.
23. The MEMBER BROKER shall provide its services and information etc. on the best efforts basis. However the MEMBER BROKER shall not be liable for any direct, incidental, special or consequential loss, damages, other costs or "lost opportunity" {notional profits/losses} arising in any way out of (but not limited to):
 - 23.1 Services which could not be availed like Order/transactions not executed due to any reason including but not limited to time lag in execution of order, latency in the systems of the Member Broker or the Exchanges, disruption of any kind in the transmission of data, system failure including failure of ancillary or associated systems, fluctuation of power, link failure between the client and the Member Broker or the Member Broker and the Exchanges, virus/malware, delay/non receipt of user name/password for Internet based trading services/backoffice due to postal email delay; payment gateway delay/downtime, shutting down of systems by the Member Broker or disabling of the Client's access for any reason, any force majeure event or
- 23.2 Any inaccuracy or error or delay or insufficiency for any reason in any information, false statement, misrepresentation, breach of warranty or fraud committed by any employee/ associates /third parties engaged by the MEMBER BROKER for the purpose of the services offered by it.
- 23.3 Unauthorised use of the username and password of the Client for the internet based trading services.
- 23.4 The Client hereby agrees to hold the MEMBER BROKER continuously harmless and indemnified against any claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned for any reason including but not limited on account of the MEMBER BROKER having entered into the Agreement &/or having dealt with/for the Client and or due to breach of any of the Client's obligation under the Rights & Obligations as defined by Exchanges/SEBI or any other agreement(s).
24. If any of the term(s)/ clause-{s} or part of any term/ clause of this agreement, is/are held to be contravention of /in conflict with any of the provision(s)/clause-{s} in mandatory documents, as also the Rules, Regulations, Articles, Byelaws, circulars, directives, notices and guidelines and issued by the Regulator and Exchange-{s} or held invalid or unenforceable by reason of any law, rule, administrative order or judicial decisions by any court, or regulatory or self-regulatory agency or body, such contravening term-{s}/clause(s) or part of term/clause of this agreement shall be null & void. The validity of the remaining term-{s}/clause(s), however, shall not be affected thereby and these term(s)/clause-{s} shall be carried out as if any such contravening/invalid/ unenforceable terms(s)/ clause(s) or part of such term/clause were not contained herein.
25. The CLIENT hereby agrees and authorizes the MEMBER BROKER and Signatureglobal Comtrade Private Limited Company and their agents to disclose, use, exchange, share or part with all information, data or documents relating to his/her/its account and transaction to other Signatureglobal Comtrade Private Limited Company /Banks/Financial Institution/Credit Bureaus /agencies/ Statutory Bodies/such other persons as the MEMBER BROKER or Signatureglobal Comtrade Private Limited Company may deem necessary and/or appropriate and as may be required for use or processing of the said information/data etc. by such person/s or furnishing of the processed information/data /products etc. thereof to other Signatureglobal companies/ Banks/Financial Institutions/credit providers/agencies/ users registered with such persons and he/she/it shall not hold the MEMBER BROKER/Signatureglobal Comtrade Private Limited Company and other persons to whom such information etc. is disclosed liable for the disclosure or use of such information etc. "Signatureglobal would mean, Signatureglobal Comtrade Private Limited and or as the MEMBER BROKER and/or Signatureglobal compan(ies) may notify from time to time through electronic communication or otherwise.
26. The CLIENT understands and agrees that certain details provided in their

trading I back office account etc. would not be on a real time basis. The CLIENT further understands and agrees that such details would be updated by the MEMBER BROKER Signatureglobal Comtrade Private Limited Company on best efforts basis within reasonable time and he/she/it shall not hold the MEMBER BROKER and or Signatureglobal Comtrade Private Limited Company responsible for any possible mismatches in the real time data and data as reflecting on the website(s) during the period the updations are not effected.

27. The Client agrees that he/she/it does not have any objection to the MEMBER BROKER, Signatureglobal Comtrade Private Limited Company, agents/representative to provide him/her/it information on various products, offer and services provided by the MEMBER BROKER /Signatureglobal companies through any mode not limited to telephone calls/SMSs/emails and authorize the MEMBER BROKER, Signatureglobal Comtrade Private Limited Company, agents representative for the above purpose. The MEMBER BROKER offer the "Internet based Trading" ("IBT") services or Online trading or Order Routing System" ("ITORS") services to the client. The client availing the same for purchasing, selling or otherwise dealing in securities/Commodities/derivatives/ contracts/other instruments etc., further agrees to the hereinafter mentioned provisions of this clause in addition to all other clauses stated in this Agreement and/or in Rights & Obligations documents or other relevant document(s):-

28.1 The Client will be entitled to a username and Password, which would enable him/her/it to access the MEMBER BROKER's IBT for availing such services. MEMBER BROKER's IBT shall mean and include the website hosted by the MEMBER BROKER on the internet and or online trading software through which the MEMBER BROKER offers the online trading including but not limited to the hardware and software used for hosting and supporting the website and or the online trading software.

28.2 The Client is aware that the MEMBER BROKER's IBT generates the initial password and the MEMBER BROKER is aware of the same. The Client agrees and undertakes to immediately change his/her/its password upon receipt thereof. The Client acknowledges that subsequent passwords are not known or available to the MEMBER BROKER. The Client indemnifies and holds harmless the Member Broker against any liability, costs or damages arising due to any transaction or otherwise as a result of the Client not changing the password provided to him/her/it initially or at any time later as a reason of password resetting /new issuance or any other reason by the Member Broker.

28.3 The Client shall be solely responsible for keeping the user name and passwords confidential and fully secured and the Client shall be fully responsible for all orders entered and transactions done by any person whatsoever through the MEMBER BROKER's ITORS using the Client's User name and/or password whether or not such person was authorized to do so.

28.4 The Client acknowledges that he/she/it is fully aware and understands the risks associated with availing the Internet Based Trading facility through the MEMBER BROKER's IBT over the internet including the risk of misuse and unauthorized use of his/her/its username and/or passwords by any third

party. Client also acknowledges that he/she/it understands and is aware about the risk of a person hacking into the Client's account on the MEMBER BROKER's IBT or unauthorisedly routing orders on behalf of the Client through the MEMBER BROKER's IBT. The Client agrees that he/she/it shall be fully liable and responsible for any unauthorized use or misuse of his/her/its user name and/or password and also for any acts by any person through the MEMBER BROKER's IBT in the Client name in any manner whatsoever.

28.5 Online confirmation will be available to the Client upon execution or cancellation of an order placed by him/her/it through the MEMBER BROKER's IBT system.

28.6 The Client shall immediately inform the MEMBER BROKER. in writing, delivered via designated email I D to info@signatureglobaltrade.com and or via registered AD post to integrated Back Office at Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-1, Netaji Subhash Place, Pitampura, Delhi-110034 of any unauthorized use of the Client's User name and/or password with full details of such unauthorized use

29. The Client Confirms and agrees that he/she/it will be the sole authorized user of the Username(s)/login(s), Password(s), Customer User Identification Number(UIN), Telephone Personal Identification Number (TIN) or any other identification or security code (by whatever name called) to be given to him/her/it by the MEMBER BROKER to access MEMBER BROKER system and or service(s) through MEMBER BROKER's web site, internet, over the telephone, IBT or in such other manner as may be permitted by the MEMBER BROKER for availing of the services. The Client accepts sole responsibility for use, confidentiality and protection of the Username(s)/Login(s), Passwords, UIN, TIN or other security code(s) as may be issued by the MEMBER BROKER to the Client from time to time ("Security Codes") as well as for all orders/transactions and information changes entered into the Client's account using such security code(s).The Client shall ensure that such security code(s) is/ are not revealed to any third party .If the Client forgets the security code(s) or has suspicion of unauthorized use, a request for change of such Security Code(s) should be sent to the MEMBER BROKER in writing, delivered via designated email Id to info@signatureglobaltrade.com and or via registered AD post to integrated Back Office at Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-1, Netaji Subhash Place, Pitampura, Delhi-110034 . On receipt of such a request, the MEMBER BROKER shall discontinue the use of the old security code(s) and shall generate a new Security code(s) for the Client which shall be communicated to the Client. The Member Broker may also provide a web based security code(s) retrieval system for this purpose. However, the Client shall be responsible and liable for all transactions that are carried out by the use of the old Security code (s). Any order entered using the Client's security code(s) either through the website or internet or through telephone or otherwise is deemed to be that of the Client. If third parties gain access to the Member's services through the use of the Client's security code(s), the Client shall be responsible for the same and hereby indemnifies and holds harmless the Member against any liability, costs or damages arising out of claims or suits by or against such third parties based upon or relating to such access and use, since the primary responsibility for such transaction shall be that of the Client.

30. The Client agrees that for performing the acts contemplated under this relationship /agreement MEMBER BROKER has to use software and hardwares /machines etc. Client understand that as regard to trading the software/programmes of exchanges are used with additional software permitted by exchange such as (without limitation and as illustration) ODIN, NEST etc. While exchanges take all care, have full proof software / programmes and additional software/ programmes such as ODIN , NEST etc. are pre-approved by exchanges, no warranty /guarantee is taken either by the vendors/suppliers of any these softwares/ programmes of their full proof/glitch/bug free performance always. Their operations can also be effected due to software viruses etc. which may interalia lead to disruption in trading/order entry and /or false /incorrect display of order/trade status including but not limited to display of trade confirmation etc. when actually trade has not occurred or has occurred contrary to display. Therefore the Client agrees that display or printout of any transaction taken out from any system /computer at the time of order entry or instructions entry or thereafter, including but not limited to net banking and/or electronic transfer of securities/ Commodities in depository etc. shall not be construed as the official record of the transactions/orders. Member Broker or service providers' (such as bank's exchange/clearing house/clearing corporation/depository participant/ depository) final official record of transaction maintained in their own books of accounts or electronic system shall only be the acceptable records of transaction and shall be conclusive and binding for all purposes .The Client agrees that this is without prejudice to the right of MEMBER BROKER/service providers to rectify any discrepancy/differences which is due to any inadvertent human error/system /computer error etc.at any time without limitation, at the sole and absolute discretion of MEMBER BROKER and /or any of the service providers.
31. MEMBER BROKER may at anytime amend this Agreement by modifying or rescinding any of the existing provisions or conditions or by adding any new provision or condition, by providing notice to client by electronic communication or through postal service or through courier or by personal messenger or by hand delivery or any other method as per discretion of MEMBER BROKER. The continued use of MEMBER BROKER's services after the notice period shall constitute acknowledgement and consent of/to such amendment. However, the Client has right to terminate this Agreement through communication in writing by giving one month notice subject to the meeting of the financial and other obligation under this Agreement and or under Rights & Obligations documents.
32. The Client assures the MEMBER BROKER that he/she/it is eligible to enter into this Agreement under the provisions of applicable laws. The Client shall continuously monitor his/ her / its eligibility to act under this Agreement under the applicable laws including but not limited to any act/ law/ rules & regulations for the time being in force and byelaws/ Rules/ Regulations of relevant exchange(s). If at any time this assurance ceases to be applicable, Client shall refrain from dealing with the MEMBER BROKER. The Client shall ensure that he/she/it shall not deal through the MEMBER BROKER on the exchange of which he/ she/ it is registered as a broker or sub broker or Authorised Person through any broker except with the permission of the relevant exchange.
33. The Client agrees that the failure of the MEMBER BROKER to enforce at any time any terms contained in this Agreement shall not be construed to be the waiver of any terms or of the right thereafter to enforce each and every term.
34. The client understand and agree the MEMBER BROKER is not under any obligation to inform the client of any exiting and or changes of regulations, bye laws, guidelines etc., of any authority SEBI, Government, Stock Exchanges etc., as may be in force from time to time. The Client shall keep himself/herself/ itself updated regarding the changes in the rules, regulations, bye laws, procedure of any authority, exchange(s)and or MEMBER BROKER through any means including using the website of the relevant entity.
35. The client and the MEMBER BROKER agree to refer any claims and /or disputes to arbitration as per the Rules & Regulations and Bye-laws of the Exchange and circular issued thereunder as may be in force from time to time.
36. The Client understands that giving / taking delivery of underlying Commodities (ies) to a contract is a very complex procedure and hereby agrees to square off / close out all open positions / contracts at least 5 (five) days prior to the date of expiry / settlement as declared by the Exchange(s), failing which the Member Broker is authorised to do so and any losses/ profits from such close out/ square • off shall be to Client's account only. However, where the Client advises through written communication with confirmed receipt by the Member Broker at least 5 days prior to the last day of the expiry of the particular(s) contract that Client wants to give/take delivery. . . The Member Broker may then elect not to square - off / close out open positions / contracts prior to date of expiry/ settlement declared by the exchange(s) provided Client makes available sufficient clear funds/delivery adequate for the full settlement price/value of the contract in the running account of the Client with the Member Broker (five days prior to the date of settlement/expiry declared by the exchange(s)).
37. Client agrees that notwithstanding anything stated herein, the responsibility to manage Client's open positions/ contracts shall be of the Client alone. Client agrees and understand that member may not square off / close any or all open positions/ contracts of the Client not withstanding that, the Client has not given written advise that Client desires a delivery of commodities to be given / taken &/or Client's advice is not supported by required clear funds/securities / commodities &/or the margin or other payments / securities / commodities required for any open positions/ contracts are not made available by the Client. In such circumstances Client agrees to give &/or take the delivery as per contract specifications with attendant costs and expenses or in the alternative pay penalty if permitted under the procedures (including but not limited to exchange byelaws and regulations etc) and losses / profits there from shall be entirely to Client's account.
38. Client agrees that Client may be required to have its duly authorised agent to carry the work for effecting / taking the deliveries of commodities, if they do arise. Client agrees that if Client fails to advise the Member at least 5 (five)

days in advance of any delivery receipt / transfer in writing the details of the agent who shall undertake the relevant activities for and on behalf of the Client, the member shall stand authorised to appoint an agent on Client's behalf. Client agrees not to hold member responsible for any acts of omission / commission or deficiencies in the services of the person who is appointed as agent for and on behalf of the Client by the member. Client agrees that such agent appointed by member shall be Client's direct agent and not a sub-agent and Client shall be directly responsible for all acts of omission and commission of the agent appointed for and on behalf of the Client by the member. Client agrees never to call into question any of the acts of agent appointed by member and agrees to bear any & all losses which may occur on account of action taken / omitted by said agent. Client agrees that all charges, cost, levies and taxes etc associated with or incidental to the deliveries including cost/fee/ charges of agent appointed for and on behalf of the Client by the member shall be fully borne by Client alone. Client undertakes to indemnify the Member Broker for any penalty/ charges which might be levied by any regulator i.e. SEBI/Exchange for non-adherence by the Client with any rules / regulations / circulars/instructions etc. issued by such regulator.

39. In this Agreement, unless the context otherwise requires:


Word denoting the singular number shall include the plural and vice versa. The pronouns "he" "she" "it" and their cognate variations are used as interchangeable and should be interpreted in accordance with the context. Words

denoting a person shall include an individual corporation, company, partnership, trust or other entity; provided however that clauses specifically applicable to a company or body corporate shall not apply to any other entity. References to the word "include" or "including" shall be construed without limitation. Reference to any party to this agreement or any other agreement or deed or other instrument shall include its success on or permitted assigns. Reference to recitals and clauses shall be deemed to be a reference to the recitals and clauses of this agreement. Reference to any enactment shall be construed as referring also to any amendment or re-enactment thereof and to any rule, bye-law, regulation, business requirement, specification, order, notification, circular or other provision made under it. Heading or bold typeface, if used, are only for convenience and ease of reference and shall be ignored for the purposes of construction or interpretation of any provision of this Agreement. The word "margin" includes margin requirement, including peak margin and as applicable in cash and derivative segments at relevant places.

40. The Client confirms having read and understood the terms & conditions of this Agreement, Rights and Obligations, Risk Disclosure Document, Guidance Note, Policies and Procedures and other relevant document(s) provided to the client as part of the account opening form and accepts and agrees to be bound by the terms and conditions including those excluding /limiting the Member Broker's and Exchange's liabilities.

IN WITNESS thereof the parties of the Agreement have caused these presents to be executed as of the day and year first above written.

Signed and Delivered by			
Signatureglobal Comtrade Private Limited			
Authorised Signatory			
Place of execution		Date of execution	
WITNESS :	Name and Signature (on behalf of Member Broker)		
Signature			
Name			
Address			

Signed and Delivered by			
Client- Name and Signature			
Signature  (25)			
Client Name			
Place		Date	
WITNESS :	Name and Signature (on behalf of Client)		
Signature			
Name			
Address			

Note: All references to the specific quantity /rate /fee mentioned in this agreement are subject to change from time to time, as so agreed to in writing between the parties.

STANDING INSTRUCTIONS/AUTHORISATION LETTER

To,

Signatureglobal Comtrade Private Limited**Registered & Corporate Office:**

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034

Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

SUBJECT: STANDING INSTRUCTIONS/AUTHORISATION LETTER

UCC: _____

I/We have been /shall be dealing through you as my/our Broker on the Equity / Commodity Exchange(s) such as NSE/BSE/MCX/NCDEX/MSEI. As my/our Broker, I/we direct and authorize you to carry out trading / dealing on my/our behalf as per instructions given below. Please treat these instructions as written ratification of my/our verbal directions/authorizations given and carried out by you earlier.

1. I/We understand that you require written instructions for placement/modifying/canceling orders. However, since it is not practical to give written instructions for order, I/We, in terms of the Rights & Obligations of Stock Brokers, Authorized Persons & Clients, as prescribed by SEBI, hereby authorize you to kindly accept my/our and or my/our authorized person's verbal instructions / orders in person or over phone and execute the same. I/We understand the risk associated with verbal orders and accept the same. I/We agree that I/We shall not be entitled to disown the trades so executed through verbal orders/instructions or ask for proof of placement of orders. However, I/We shall have right to contest discrepancy, if any, within 24hrs of your confirming the trades so executed in my/our said UCC through SMS or ECN, in terms of various clauses, specifically clause 16.6, of the Contract between Member Broker and Client and other documents executed. I/We also understand that the above authorization can be revoked by me/us at any time by giving notice through physical letter by registered A.D. at your above mentioned address
2. I/We specifically agree that no interest, for any reason, shall be payable to me/us on any amount or credit balance lying with MEMBER BROKER.

I/We agree to indemnify you and keep indemnified against all losses, damages and actions which you may suffer or arise as a consequence of your adhering to and carrying out/my/our directions given above.

I/We have signed/submitted this document at my/our own volition.

Thanking you,

Yours faithfully,

Signature of Client

 (26)

Name:

Date:

RUNNING ACCOUNT AUTHORISATION

To,

Signatureglobal Comtrade Private Limited**Registered & Corporate Office:**

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-1, Netaji Subhash Place, Pitampura, Delhi-110034

Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

SUBJECT: RUNNING ACCOUNT AUTHORISATION

UCC: _____

I/We have been / shall be dealing through you as my/our Broker on various equity/commodity exchange(s) such as NSE/BSE/MCX/NCDEX/MSEI and I / we further authorize you to follow these instructions across exchanges & across segments in which I / we have already opened accounts with you or I/We may open account in future. As my/our Broker i.e. agent I/ we direct and authorize you to carry out trading/ dealings on my/our behalf as per instructions given below.

I/am/We are aware that you and I/we have the option to make payments of funds to each other for settlement of dealings as per the schedule in force at the relevant time pursuant to directives / regulations/ circulars, issued by exchange/ regulatory authorities. However, I/we find it difficult to carry out repeated pay-in of funds. Further, I/we also desire to use my/our funds as margin without which I/We cannot deal/trade.

Therefore I /we hereby direct and authorise you to maintain running account(s) for me/us and from time to time debit these funds from running accounts and make pay-in of funds to exchanges/clearing corporations/other receiving party(ies) to settle my/our trades/ dealings. Similarly, where I/we have to receive funds in settlement of trades/ dealings please keep the funds with you and make credit entries for the same in running accounts of funds maintained by you for the purpose of any obligations due to me/us. In view of the same it would be proper for you to release the funds due to me/us on my/our specific, either written or verbal instructions. Further, the funds can be transferred from one segment to other and from one exchange to another as per the requirements. Further, subject to your discretion please treat my/our funds lying to my/our credit in running accounts as margin for my/our dealings/ trading. You are authorize to do these acts across all exchanges & segments in which I / we have been / shall be dealing with you. Further I/We understand and agree that any credit amount with you will not attract any interest.

In the event I/we have outstanding obligations on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. You may also retain such other funds as may be specified by the exchanges from time to time. While settling the account please send a 'statement of accounts' containing an extract from ledger for funds displaying all receipts/payments of funds. Please explain in the statement(s) being sent the retention of funds and the details of the pledged securities, if any. I/We agree that I/we shall bring any discrepancy(ies)/dispute in the/arising from the statement of retention of funds or statement of funds or settlement so made to your notice, preferably, within 30 working days from the date of receipt of funds or statement of retention of funds, as the case may be, in writing, through Registered A.D./Speed Post at your corporate office or through email at info@signatureglobaltrade.com from my/our registered email id. Further, do not carry out settlement of running account referred to above for funds given by me/us towards margin in the form of bank guarantee (BG)/Fixed Deposit receipts (FDR).

Notwithstanding anything contained anywhere in the above authorization signed by me/us, this Running Account Authorisation shall not be applicable in case of any purchases of securities in cash segment or in F&O segment (under physical settlement) and pledged to Client Unpaid Securities Pledge Account (CUSPA). The securities pledged in the (CUSPA) shall be settled as per the rules, regulations & guidelines of the exchanges/SEBI. I/We further agree that the funds shall be returned to me/us if I/we have not done any transaction in the 30 calendar days since the last transaction, within three working days irrespective of the date when the running account was previously settled or within the period as may be specified by SEBI/Exchanges from time to time.

Please further note that while I/We am/are entitled to revoke this authorisation at any time, by sending signed physical letter of revocation, through post / courier at your above mentioned address.

I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above and further agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of securities/funds under this agreement.

My/Our preference for actual settlement of funds is at least:

 Once in a Calendar Quarter Once in a Calendar MonthThanking you,
Yours faithfully,Signature of Client  (27)

Name: Date:

[Note : To be signed by person himself/herself not to be signed by his/her attorney/ authorised person etc.]

AUTHORISATION FOR ELECTRONIC CONTRACT NOTES (ECN)/OTHER DOCUMENTS

To,

Signatureglobal Comtrade Private Limited**Registered & Corporate Office:**

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-1, Netaji Subhash Place, Pitampura, Delhi-110034

Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

SUBJECT: AUTHORISATION FOR ELECTRONIC CONTRACT NOTES (ECN)/OTHER DOCUMENTS

UCC: _____

I/We have been / shall be dealing through you as my / our Broker on various equity/commodity exchange(s) such as NSE/BSE/MCX/NCDEX/MSEI. This instruction is applicable for all the exchanges / segments in which I / we have opened account with you &/or I/We may open account in future with you. As my / our Broker i.e. agent I / We direct and authorize you to carry out trading / dealings on my / our behalf as per instructions given below.

I/ We understand that, I/ we have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I/ we hereby opt for receipt of contract notes & other documents in electronic form, I /We understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes &/or other documents. Accordingly, please take the following email account(s) / email id on your record for sending the contract notes to me / us.

1.
2.

I/we have access to a computer and am/are a regular internet user, having sufficient knowledge of handling the email operations. I/we am/are aware that this declaration form should be in English or in any other language known to me/us.

I/We agree not to hold you responsible for late / non-receipt of contract notes/other documents/communication sent in electronic form for any reason including but not limited to failure of email servers, loss of connectivity, email in transit etc. I/ we agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes & other communication/document to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me / us on account of any non-receipt/ delayed receipt for any reason whatsoever.

I/We also agree that non-receipt of bounced mail notification by you shall amount to delivery at my/our email account(s) /email id(s).

I/We also agree that in case, you want to send contract notes/other documents/communication in physical form in any of the above segments / exchanges due to any reason, whatsoever, including bouncing of email, I / we here by permit you to send the same in physical mode and the charges, if applicable, shall be debited to my/our account.

I/We understand that I/We am/are required to intimate any change in the email id/ email account mentioned herein above which needs to be communicated by me/us through a duly signed request letter in original to you by registered A.D./Speed Post at your above mentioned address, provided however that if I/we am/are an internet client then in that event the request for change in email id/ email account can be made by me/ us through a secured access using client specific user id and password. Please treat this authorization as written ratification of my / our verbal directions / authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.

I/We agree that till the time the change in email address(s) is not updated in your records, the ECN and other documents sent to existing email address (s) with you shall be deemed to be a valid delivery and I/We shall not hold you responsible for any direct or indirect consequences faced by me/us on this account.

The above authorization and the guidelines on ECN given in the note below have been read and understood by me/us. I/we am/are aware of the risk involved in dispensing with the physical contract note and do hereby take full responsibility for the same.

I/We have signed/submitted this document on my/our own volition.

Thanking you,
Yours faithfully,

Signature of Client

 (28)

Name: Date:

Note :

1. To be signed by person himself/herself not to be signed by his/her attorney/ authorised person etc.
2. You can revoke ECN facility and opt for the Contract Note in the physical form only by giving 7 working days notice to the member broker through Registered A.D./Speed Post at the above mentioned address and copy of the same would be retained by you.
3. In case, due to any reason, whatsoever, including bouncing of email, if contract note is sent in physical form, charges, if applicable, shall be debited.

LETTER OF AUTHORITY TO TRADE

To,

Signatureglobal Comtrade Private Limited**Registered & Corporate Office:**

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034

Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

SUBJECT: AUTHORITY TO TRADE ON MY / OUR BEHALF.

I hereby authorise Mr/Ms. _____ S/D of _____
 having PAN _____, UID No _____ & Mobile No _____ is my
 husband/wife/son/daughter/brother/sister/parents/other (Please Specify) _____ to trade on my behalf in my trading A/c No
 _____ and to perform all or any of the following acts, deeds and things for and on my/our behalf and in my/our interest.

I/we confirm that the aforementioned Authorised Person is well aware of risks associated with trading.

1. To operate the Account on my/our behalf.
2. To issue necessary instructions to you, for purchase, sale or transfer of shares and commodities from or to the Accounts as per Representative own judgment, and to sign necessary documents, wherever required effectuating such instructions of purchase, sale or transfer of securities and commodities from or to the Account.
3. To make or cause to make payments to SIGNATUREGLOBAL COMTRADE PRIVATE LIMITED (SGCPL). Whenever necessary, and in accordance with my/our obligations in accordance with the terms and conditions of various agreements executed between me/us and SGCPL.
4. To duly honour all my/our contractual obligations under various agreements executed between me/us and SGCPL. Including but not limited to the "Member Constituent Agreement" and/or any other undertaking, commitment issued by my/us in favour of SGCPL.
5. To accept and give valid discharges for acceptance and submission of contract notes, bills, ledger statements, transaction statements and all correspondence on my behalf and report any discrepancy therein to SGCPL.
6. To receive & accept necessary telephonic calls pertaining to margin &/or trade confirmation or any other call including verification call from SGCPL. At designated mobile no. & undertake to update this contact detail in writing with SGCPL. In the event of any such change, taking place at any time in future.
7. To sincerely abide by the Statutes, Rules, Regulations and Guidelines prescribed for the purpose and in relation to the operation of the Account
8. I/we hereby agree and undertake that all such acts, deeds and things done by the Representative shall be deemed to be binding upon me/us as the same has been done by me/us only and I/we shall hereby ratify all and such acts, deeds, or things done by Representative in any manner whatsoever in discharge of the duties conferred upon him/her under the present instrument.
9. I/we hereby agree and undertake to indemnify and keep SGCPL. including its directors, officers and employees indemnified against any loss, claims, liabilities, obligations, damages, deficiencies, actions, suits, or proceedings aroused / accrued or caused to the SGCPL. For any wrong act, deed or thing done by the Representative in any manner whatsoever in exercise of the powers conferred upon him.
10. I/We further undertake and agree not to challenge any act, deed or things done by said Representative in any manner.
11. I/We hereby agree and acknowledge that this letter of Authority shall be effective and operational until SGCPL. Received and acknowledged revocation letter at its registered office.

Thanking you,
 Yours faithfully,

Signature of Client

 (29)

 Authorised Person
 Signature



Name:

Date:

[Note : To be signed by person himself/herself not to be signed by his/her attorney/ authorised person etc.]

CONSENT FOR SHARING OF AADHAAR DATA

To,

Signatureglobal Comtrade Private Limited**Registered & Corporate Office:**

Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034

Telephone: 011-45114511 | Email: info@signatureglobaltrade.com | Website : www.signatureglobaltrade.com

I/We understand that Signatureglobal Comtrade Private Limited is in the business of providing trading services for buying/selling of securities to its clients.

I/We am/are desirous for opening of trading and demat account with Globe and hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.

I am aware of other modes of KYC which are available and I have chosen Aadhaar based method voluntarily. My Aadhaar record can be used by KRA agencies only for the specific purpose validating/ maintaining/ sharing my KYC record and as an audit evidence. I will have an option to request for deleting of my Aadhaar record.

I hereby give my consent for receiving information including Central KYC Registry through SMS/Email on the above registered mobile number/email address. I am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to share my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Dig locker XML file, along with pass code and as applicable, with SEBI, KRA, CKYC and other Institutions/agencies/ Intermediaries with whom I have a business relationship for KYC purposes only.

Name:

Date:

[Note : To be signed by person himself/herself not to be signed by his/her attorney/ authorised person etc.]

PAN:

Signature of Client  (30)**Instructions:**

- 1- Ensure that you pan should be linked with your Aadhaar.
- 2- Clear Aadhaar copy with QR code will only be accepted.
- 3- Masked the first 8 digit of Aadhaar copy.

21.03 centimeters

DEMAT DEBIT AND PLEDGE INSTRUCTION (“DDPI”)

I/We agree to the terms and purpose of this DDPI document between an individual/body of individuals/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act 2013, or any relevant Act or unregistered in nature; and **Signatureglobal Comtrade Private Limited** (hereinafter referred to as “Signatureglobal”), a Company within the meaning of Companies Act, 2013 with its registered office at **Unit No 228 and 229, 2nd Floor, D-MALL, Plot No A-I, Netaji Subhash Place, Pitampura, Delhi-110034 India**; Whereas: (a) I/We have established a business relationship with Signatureglobal Comtrade to avail services w.r.t trading, investing & other services offered by Signatureglobal Comtrade, having a DP ID 12095400 & Client ID (b) This DDPI document shall be in line with SEBI Circular no. SEBI/HO/MIRSD/DOP/P/CIR/2022/44 dated April 04 2022, as may be updated from time to time, & I agree to the below points:















Signature of First Accountholder



Signature of Second Accountholder



Signature of Third Accountholder

S No	PURPOSE	Signature of Client*		
		First/Sole Holder/Director/ Auth Signatory	2nd Holder/ Director/ Auth Signatory	Third Holder/ Director/Auth Signatory
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker.	 Signature of First Account holder	 Signature of Second Account holder	 Signature of Third Account holder
2	Pledging / Re-Pledging of securities in favour of trading member (TM) / clearing member (CM) for meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	 Signature of First Account holder	 Signature of Second Account holder	 Signature of Third Account holder
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	 Signature of First Account holder	 Signature of Second Account holder	 Signature of Third Account holder
4	Tendering shares in open offers through Stock Exchange platforms	 Signature of First Account holder	 Signature of Second Account holder	 Signature of Third Account holder
* the same may be e-Signed or signed physically				



Signature of First Account holder



Signature of Second Account holder



Signature of Third Account holder

CHECKLIST FOR OPENING OF ACCOUNT

Type of Entity	Documentary Requirements
Individuals	<ul style="list-style-type: none"> • One photograph of A/c Holder duly passed and sign across the photo on KYC • Self-attested copy of PAN CARD • Self-attested copy of Address Proof • One leaf of Bank Cancelled Cheque (if name is not printed on cheque, Copy of Bank Passbook or Statement is Mandatory) • Mobile No e-mail address, mother name, occupation of account holder • ITR/Last six-month bank statement of existing bank • ID Proof of nominee (if ID Proof is Not Available Photograph and Sign is Mandatory)
Corporate	<ul style="list-style-type: none"> • Attested Copy of Pan card of the Company • Attested Copy of Address Proof of the Company (From-18/INC-22 or Latest Copy of Bank Statement/Utility Bill) • ITR/Latest 6 months' bank account statement of company bank account • Cancelled cheque of the Company (if name not Printed Copy of Statement/Passbook is Mandatory) • Certified true copy of MOA (Memorandum of Association) and AOA (Articles of associations) • Photograph of all Directors, Authorised signatories (if other than Directors), Individual Promoters, shareholders having more than 10% share holding • Self-Attested Copy of Pan Card and Aadhar Card of all directors, Authorised signatories (if other than Directors), Individual Promoters, shareholders having more than 10% share holding • Board resolution on letter head of the company indicating the person authorized to opening and operation of the said account • List of Directors on Company letter head • Share holding pattern as on date on letter head • List of authorized signatory on letterhead along with their address and specimen signatures • Balance sheet of last 2 Financial Years (in case of new company which is incorporated in current F.Y, net worth certificate, and declaration letter for Balance sheet) • Proper UBO information on FATCA declaration page along with supporting documents i.e self-attested copies of PAN and Aadhar Card. • DDPI (Last Page should be signed by all directors)
Partnership Firm	<ul style="list-style-type: none"> • PAN Card copy of the Firm. • PAN Card of all Partners (Attested by Each Individual Partner). • Address Proof copy of the Firm. • Address Proof copy of all the partners (Attested by Each Individual Partner). • Copy of the Partnership Deed duly attested by a notary public (investment clause must be mentioned). The first 3 pages of the partnership deed (on all pages if lesser) should have the seal and signature of the Authorized Signatory. • Proof of Bank Account (Specimen copy of cancelled check leaf/Bank Statement/Bank Passbook/Letter from Bank). • Copy of Balance Sheet of the Partnership Firm for the last 2 Financial Years* (to be submitted every year) if the firms is newly incorporated then, net worth certificate from CA. (The balance sheet should have the seal and signature of the Authorized Signatory on all the pages). • Declaration for IT returns if it's a new firm. • Aadhaar of the Authorized Person as per the Letter of Authority in the letterhead of the firm. • FATCA Declaration. • Copy of Certificate of Registration (For Registered Partnership Firms only). • Individual KYC form filled and signed by each partner (Partners have to sign without the stamp on individual KYC's and supporting docs). If any of the partners is a foreign national or an NRI, then the KYC forms have to be notarized by Authorised officials of overseas branches of scheduled commercial banks registered in India, public notaries, court magistrate, judge, or the Indian embassy/consulate general in the country that they reside. • If an individual is having more than 10% of profits of the applicant firm, the individual should identify as an ultimate beneficiary and is required to submit Annexure A2. • NOTE: Demat account will be opened in the names of Partners (any one of the partner's name).
LLP (Limited Liability Partnership)	<ul style="list-style-type: none"> • PAN Card copy of the Firm. • PAN Card of all Partners (Attested by Each Individual Partner). • Address Proof copy of the Firm. • Address Proof copy of all the partners (Attested by Each Individual Partner). • Proof of Bank Account (Specimen copy of cancelled check leaf/Bank Statement/Bank Passbook/Letter from Bank). • Copy of Balance Sheet of the Firm for the last 2 Financial Years* (to be submitted every year) if the firms is newly incorporated then, net worth certificate from CA. (The balance sheet should have the seal and signature of the Authorized Signatory on all the pages) • Copy of the LLP Agreement duly attested by a notary public (investment clause must be mentioned). The first 3 pages of the LLP agreement (on all pages if lesser) should have the seal and signature of the Authorized Signatory. • Aadhaar of the Authorized Person as per the Letter of Authority in the letterhead of the firm. • Duly filled annexures (with the authorized partner's signature and the firm's seal affixed on the authorized partner's signature). • Board Resolution. • Copy of Certificate of Registration (For Registered LLP Firms only) (Investment clause must be mentioned). • FATCA Declaration. • Individual KYC form filled and signed by each partner (Partners have to sign without the stamp on individual KYC's and supporting docs). If any of the partners is a foreign national or an NRI, then the KYC forms have to be notarized by Authorized officials of overseas branches of scheduled commercial banks registered in India, public notaries, court magistrate, judge, or the Indian embassy/consulate general in the country that they reside. • If an individual is a shareholder of the applicant, having more than 10% of profits sharing of the applicant, the individual should identify as an ultimate beneficiary and is required to submit Annexure A2.
HUF	<ul style="list-style-type: none"> • Pan Card Copy of HUF • Pan Card Copy of Karta • Pan Card Copy of Co-Parcener • Address proof Copy of HUF • Address Proof Copy of Karta • Bank Passbook/Statement of HUF Indicating its existence. • ITR • Aadhaar of the Karta. • Duly filled HUF Declarations. • NOTE: Karta seal/stamp is mandatory on all the signature fields and on all the proofs provided. DDPI has to be signed by all the members of the HUF. (Minor co-Parcener/Members signatures are not required). In case there are more than 3 co-Parcener/Members, the space between the signature boxes in the DDPI can be used. The same Co-Parceners/Members who have signed in the DDPI must also sign in the HUF Annexures.
NRI	<ul style="list-style-type: none"> • A passport-size photograph to affix and sign across on the KYC. • Self-attested and notarised copy of the passport • In case of an Indian passport, a valid passport with the place of birth as India and a valid visa. • In case of a foreign passport, a valid passport, visa and the PIO, OCI or resident card. • Self-attested and notarised copy of the PAN card. • Self-attested and notarised copy of the overseas address proof. The address proof can be any one: Driving licence, foreign passport, utility bills, a bank statement, banker letter attested by the bank (on the bank's letterhead), Rental Agreement or Lease or Sale deed. Mariner's declaration or Certified copy of Continuous Discharge Certificate (CDC) in case of Merchant Navy NRIs. • Self-attested copy of the Indian address proof if available. • A cancelled cheque from the NRO or NRE bank account. • ID proof of the nominee if a nominee is being added. • The latest immigration copy if the client is in India and submitting the documents to the SGCPL or if the notary is being done in India. • NRIs are mandated to submit income proof while opening an account due to their classification as Clients of Special Category (CSC).
Trust	<ul style="list-style-type: none"> • A PAN copy of the trust with the authorised trustee or signatory seal and signature. • Address proof in the name of the trust with the authorised trustee or signatory seal and signature. • Self-attested individual PAN copies of all the authorised trustees or signatories. • Self-attested individual address proof of all the authorised trustees or signatories. • Individual KYC form filled and signed by the authorised trustee or signatory without the seal. • A copy of the trust deed with the investment clause included, attested by a public notary. The first three pages of the trust deed (on all pages if lesser) should have the seal and signature of the authorised signatory. • I2-AA Registration certificate for public registered trust. • A copy of the balance sheet of the latest two financial years with the seal and signature of the authorised trustee or signatory. • If the trust is newly incorporated, a net-worth certificate from a CA with UDIN Number specified and Income Tax Declaration on the trust letterhead with the authorised trustee or signatory seal and signature. • A bank account proof of the trust. • Income Proof • Ultimate Beneficial Owner (UBO): A copy of the UBO annexure with signature of an individual holding 10% or more. • A self-attested copy of the PAN and address proof of those holding 10% or more.
Minor	<ul style="list-style-type: none"> • KYC application should be in the name of Minor Applicant. • KYC application should be signed by the guardian of the minor in the capacity of Guardian. (Please ensure that minor should not sign the KYC application form). • PAN card copy in the name of Minor applicant. • Proof of address either in the name of applicant or his Guardian. • Proof of Date of Birth of minor given in the application. • Proof of Identity and proof of address of the Guardian. • Note: Documents for Proof of Date of Birth: Photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate.
Foreign National	<ul style="list-style-type: none"> • Self-attested copy of the PAN card. • Bank statement of the FPI bank account. • CMR copy of the custodial demat account (FPI). • CP allotment letter from exchange provided by the custodian. • SEBI certificate provided by the custodian.

COMPLETENESS OF FORM

Verified By

Name : _____

Signature : _____

Date : _____

Approved By

Name : _____

Signature : _____

Date : _____

Entered in Back office System by

Name : _____

Signature : _____

Date : _____

Entered in Surveillance System by

Name : _____

Signature : _____

Date : _____

Client Name : _____

Branch : _____

Received on : _____

Relationship
Manager : _____

Dealer : _____

Introducer : _____



CIN : U74899DL2002PTC362495 | DP ID: 12095400

Registered & Corporate Office Address:

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